

FEES I – Introduction & Standard FEES protocol

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Getting the presentations



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FEES Milestones

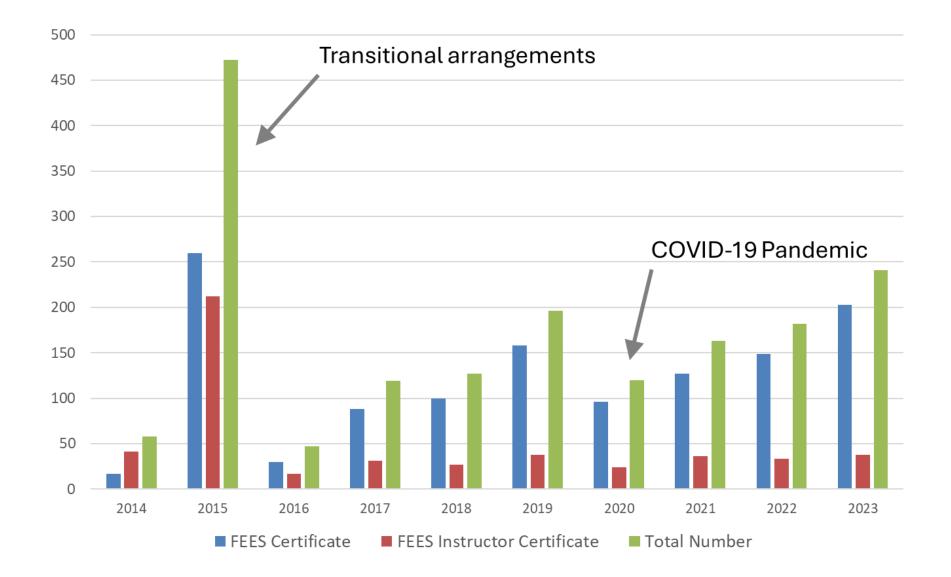


- 1988 Susan Langmore: *"Fiberoptic endoscopic examination of swallowing safety: a new procedure" Dysphagia* 1988;2:216-219
- 2001 *"Endoscopic Evaluation and Treatment of Swallowing Disorders"* by Susan Langmore is published by Thieme
- 2008 Guidelines "*Neurogenic Dysphagia*" of the German Neurological society refer to VFSS and FEES as most important instrumental methods to investigate the swallow.
- 2010 OPS Code 1-613: to document that an endoscopic swallowing examination has been performed
- 2014 FEES curriculum of the German Neurological Society and German Stroke society is published
- 2015 FEES service is required on certified stroke units in Germany.
- 2017 FEES educational program of the ESSD is published
- 2018 ESPEN guidelines "Clinical nutrition in Neurology"
- **2019** FEES registry study published
- 2021 ESO-ESSD guidelines "Management of Post-stroke dysphagia" recommends FEES in stroke patients
- 2021 FEES phenotypes for neurogenic dysphagia are published
- 2023 Guidelines of the German Neurological society for Stroke, PD, Myasthenia gravis and inflammatory myopathies recommend FEES.
- 2024 Paper on the integrated FEES report summarising knowledge accumulated across >20 years is published



FEES education in Germany

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FEES Curriculum



Dziewas et al. BMC Medical Education (2016) 16:70 DOI 10.1186/s12909-016-0587-3

BMC Medical Education

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DEBATE

Flexible endoscopic evaluation of swallowing (FEES) for neurogenic dysphagia: training curriculum of the German Society of Neurology and the German stroke society

Rainer Dziewas^{1*}, Jörg Glahn², Christine Helfer³, Guntram Ickenstein⁴, Jochen Keller⁵, Christian Ledl⁶, Beate Lindner-Pfleghar⁷, Darius G. Nabavi⁸, Mario Prosiegel⁹, Axel Riecker^{7,10}, Sriramya Lapa¹¹, Sönke Stanschus¹², Tobias Warnecke¹ and Otto Busse¹³



European FEES Accreditation Program



Dysphagia DOI 10.1007/s00455-017-9828-9



EDITORIAL

European Society for Swallowing Disorders FEES Accreditation Program for Neurogenic and Geriatric Oropharyngeal Dysphagia

R. Dziewas¹ · L. Baijens^{2,3} · A. Schindler⁴ · E. Verin⁵ · E. Michou⁶ · P. Clave⁷ · The European Society for Swallowing Disorders



ESSD FEES Accredetation Programme



- Aims
 - Definition of quality standards
 - Valorization of FEES and of its users
 - Improve communication and collaboration between professionals involved in doing FEES
- Target group
 - All health care professionals involved in the care of dysphagic patients
- Endorsement of other medical societies has been achieved:
 - Neurology, Stroke, Physical Medicine and Rehabilitation





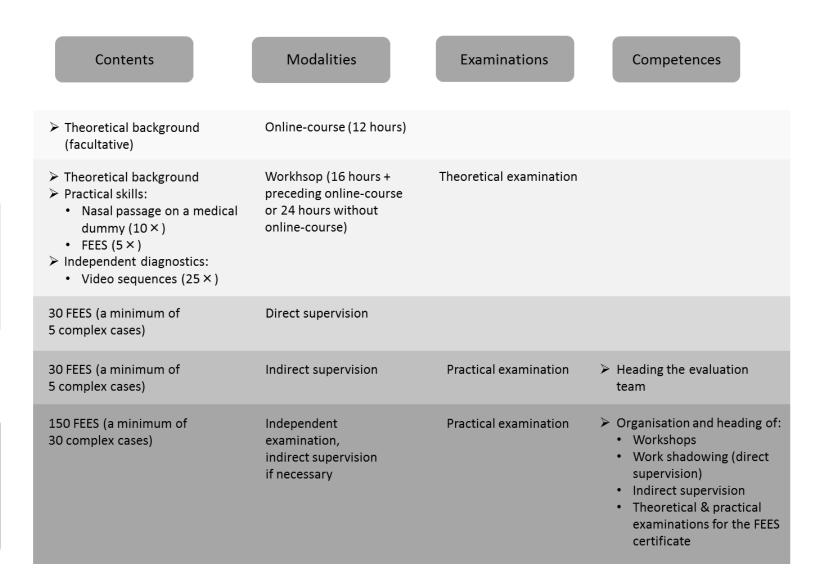
- Prerequisites
 - Two years of clinical practice focused on the care of neurological or geriatric patients.
 - Three months of this period shall be completed in a neurological or geriatric department or a facility involving the care of these patients such as dysphagia or FEES units.

Online Learning (12 hours) & Workshop (16 hours) Or Workshop (24 hours)



Structure of the Programme

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FEES Certificate

Topics of the Examinations

Theoretical examination 25 multiple-choice questions (60% correct answers) Practical examination (~45 minutes)

- 1. Performance of a FEES
 - Examination in the resting state
 - Anatomical-physiological examination
 - Evaluation of swallowing
 - Therapeutic manoeuvres
 - Diagnosis
 - Discussion regarding the planning of further diagnostic and therapeutic strategies
- 2. Evaluation of 2 FEES sequences
- 3. Discussion of a selection of diagnoses from the training manual

Practical examination (~90 minutes)

1. Performance of 2 FEES (1 complex case)

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- Implementation of the standard protocol
- Modification of the evaluation protocol
- Implementation of special protocols
- Independent development of diagnostic and therapeutic strategies
- 2. Evaluation of 5 FEES sequences
- 3. Discussion of a selection of diagnoses from the training manual

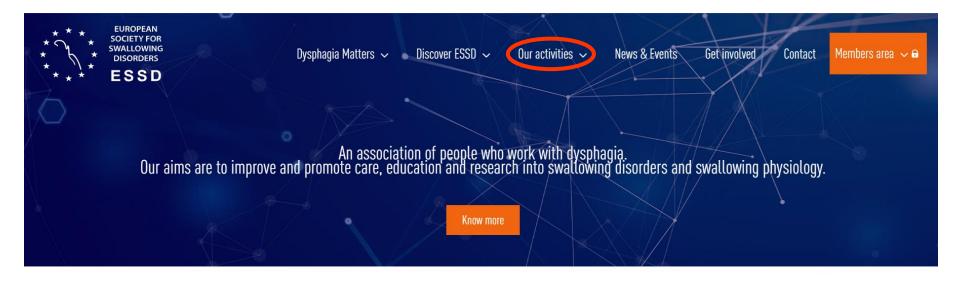
FEES Certificate

FEES Instructor Certificate



Where to find what





Who we are

The European Society for Swallowing Disorders is an international non-profit association aiming to improve the quality of care for those affected by swallowing disorders. The Society brings together health care professionals and researchers from multiple disciplines to promote excellence in care, education, and research into swallowing disorders.

Know more



Where to find what





Who we are

The European Society for Swallowing Disorders is an international non-profit association aiming to improve the quality of care for those affected by swallowing disorders. The Society brings together health care professionals and researchers from multiple disciplines to promote excellence in care, education, and research into swallowing disorders.





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Where to find what





	ESSD FEES education program	\rightarrow
	The ESSD FEES accreditation program pursues three aims:	\rightarrow
	Qualification Levels	\rightarrow
	Prerequisites for starting the ESSD-FEES education	\rightarrow
4	For professionals with FEES-expertise	\rightarrow
	ESSD FEES training record book for download	\rightarrow
	Task assignment and delegation	\rightarrow
	FEES Certification Program for Neurogenic and Geriatric Oropharyngeal Dysphagia	\rightarrow

FEES-Registry Design and Endpoints





- Prospective multicentre observational trial at 23 sites in Germany and Switzerland from 9/2014 to 5/2017.
- Recording of
 - Epidemiological and clinical data
 - Qualification and experience of the examiner
 - Side-effects
 - Cardiorespiratory paramater
 - Severity of dysphagia
 - Impact of FEES on dysphagia management



FEES-Registry Patient Characteristics



Main Diagnosis			
Stroke	1465 (61.0)		
Parkinson's Disease	157 (6.5)		
CIP	135 (5.6)		
MND	75 (3.1)		
Dementia	64 (2.7)		
Malignoma	48 (2.0)		
Movenent Disorders (other)	41 (1.7)		
Enzephalopathia	37 (1.5)		
ТВІ	36 (1.5)		
Meningitis/Enzephalitis	36 (1.5)		
Myasthenia gravis	35 (1.5)		
Immune-mediated neuropathy	34 (1.4)		
Psychogenic dysphagia	34 (1.4)		
Seizure	33 (1.4)		
Myopathy	29 (1.2)		
Cervical spine surgery	20 (0.8)		
Multiple Sclerosis	18 (0.7)		
Pneumonia	13 (0.5)		
Esophageal diseases	12 (0.5)		
Other/Missing	79 (3.3)		



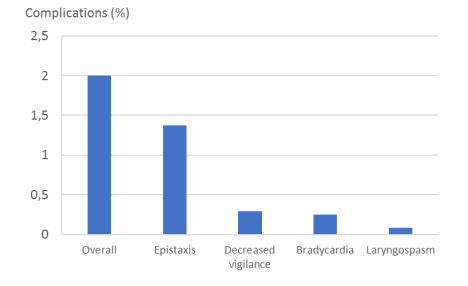
FEES-Registry Environment & Expertise

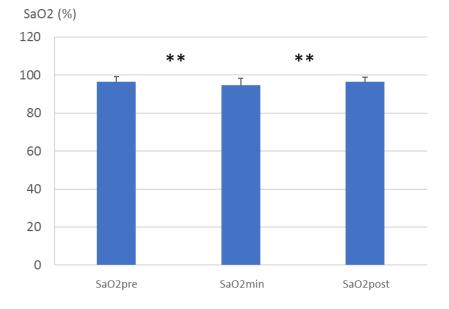


Setting	
Outpatient service	216 (9.0)
Acute care facility	1692 (70.5)
Rehabilitation facility	493 (20.5)
Examiner's profession	
Physician involved	1404 (58.5)
SLT involved	2282 (95.0)
SLT alone	985 (41.0)
Examiner's experience	
<30 FEES	420 (17.7)
30-200 FEES	609 (25.6)
201-500	389 (16.4)
>500	960 (40.4)
Examination time (min)	9.8 (5.9)

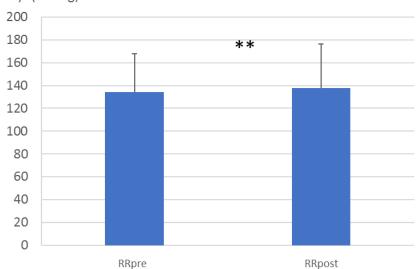
FEES-Registry Results



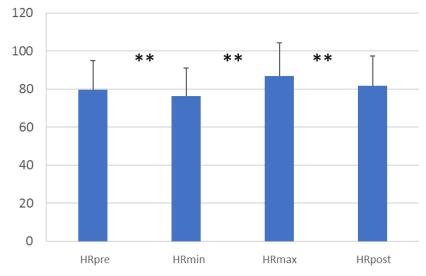






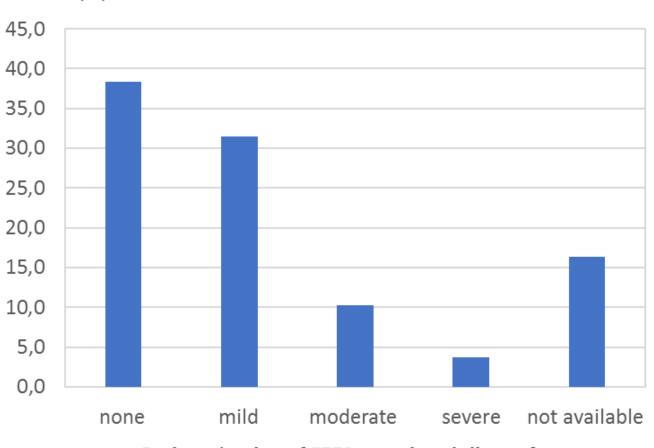


HR (B/min)

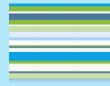


FEES-Registry Results





Patients' rating of FEES-associated discomfort

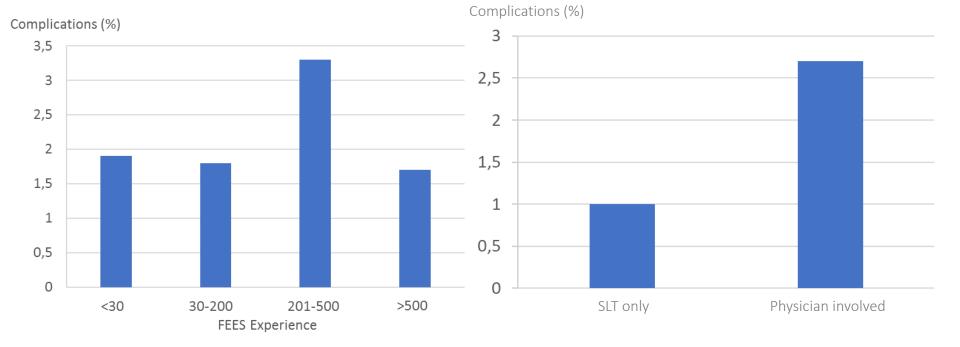


Patients (%)



FEES-Registry Complications







FEES-Registry FOIS Scale



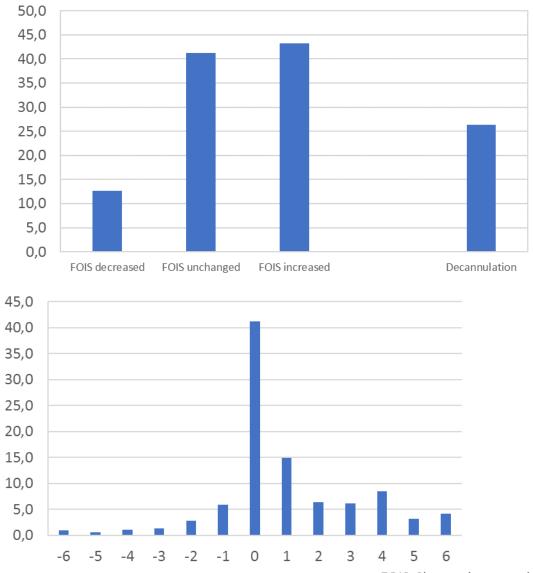
1	No oral intake
2	Tube dependent with minimal/inconsistent oral intake
3	Tube supplements with consistent oral intake
4	Total oral intake of a single consistency
5	Total oral intake of multiple consistencies requiring special preparation
6	Total oral intake with no special preparation, but must avoid specific foods or liquid items
7	Total oral intake with no restrictions

Crary MA et al. Initial psychometric assessment of a functional oral intake scale for dysphagia in stroke patients. Arch Phys Med Rehabil 2005;86:1516-1520.



FEES-Registry Results





FOIS-Change (post-pre)



FEES-Registry Conclusions



- Side-effects similar to previously published studies.
- All complications were self-limited and resolved without sequalae
- No increased risk of complications if FEES was performed by less experienced clinicians.
- Cardiorespiratory alterations were not clinically relevant.
- FEES impacted on feeding strategy in >50% of patients.
- Decannulation after FEES in >25% of trach-patients.



Introduction Quality



- in numerous older studies with sequential examinations high concordance between FEES and VFSS for detection of penetration and aspiration [Wu et al. Laryngoscope 1997, Crary et al. Dysphagia 1997, Leder et al. Dysphagia 1998]
- in more recent studies with simultaneous examinations FEES proved to be even superior to VFSS in detecting aspiration and residues [Kelly et al. Laryngoscope 2007, Kelly et al. Clin Otolaryngol 2006]

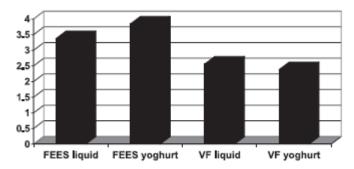
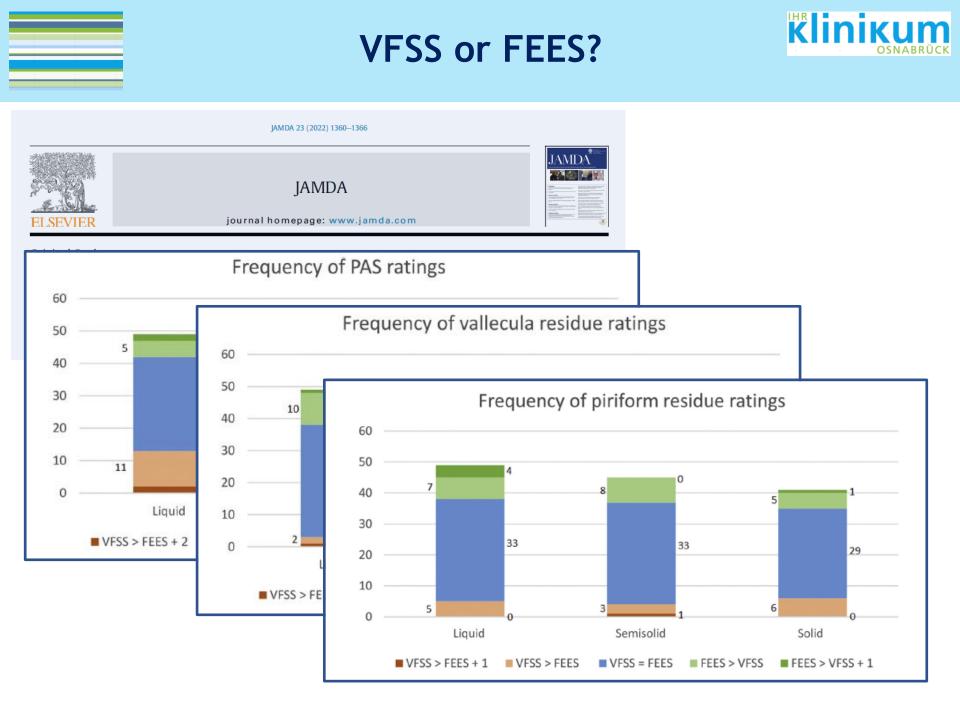


Fig. 2. Mean videofluoroscopy (VF) and fiberoptic endoscopic evaluation of swallowing (FEES) Penetration Aspiration Scale scores.

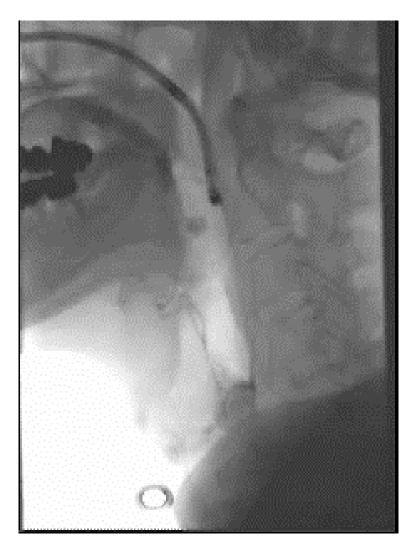
• High inter-rater and intra-rater reliability [Leder et al. Dysphagia 1998]

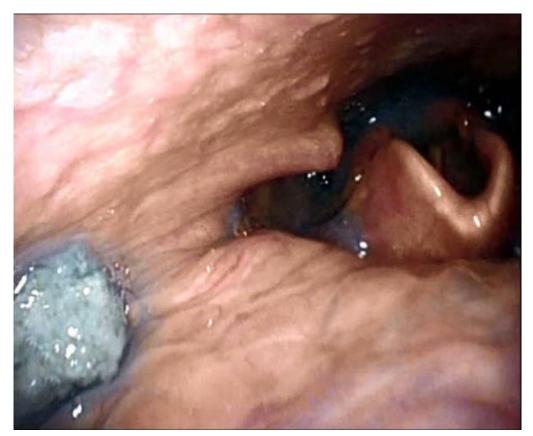




Simultaneous VFSS-FEES







FEES in dysphagia guidelines

Dziewas et al. Neurological Research and Practice (2021) 3:23 https://doi.org/10.1186/s42466-021-00122-3 Neurological Research and Practice

GUIDELINES

Diagnosis and treatment of neurogenic dysphagia – S1 guideline of the German Society of Neurology

- 6 of 53 recommendations related to FEES, for example:
- Recommendation 10: FEES and VFSS are complementary methods of instrumental dysphagia assessment and should therefore, ideally, be both available.
- **Recommendation 11:** FEES should preferably be used for bedside examinations in severely motor-impaired, bedridden or uncooperative patients.
- **Recommendation 12:** FEES should preferably be used for the assessment of pharyngeal secretion management and for the assessment of laryngeal and pharyngeal sensitivity.



Open Access



FEES in stroke guidelines



Guideline

European Stroke Organisation and European Society for Swallowing Disorders guideline for the diagnosis and treatment of post-stroke dysphagia

EUROPEAN Stroke Journal

European Stroke Journal 2021, Vol. 6(3) LXXXIX-CXV © European Stroke Organisation 2021 Article reuse guidelines: sagepub.com/journals-permissions DOI: 10.1177/23969873211039721 journals.sagepub.com/home/eso SAGE

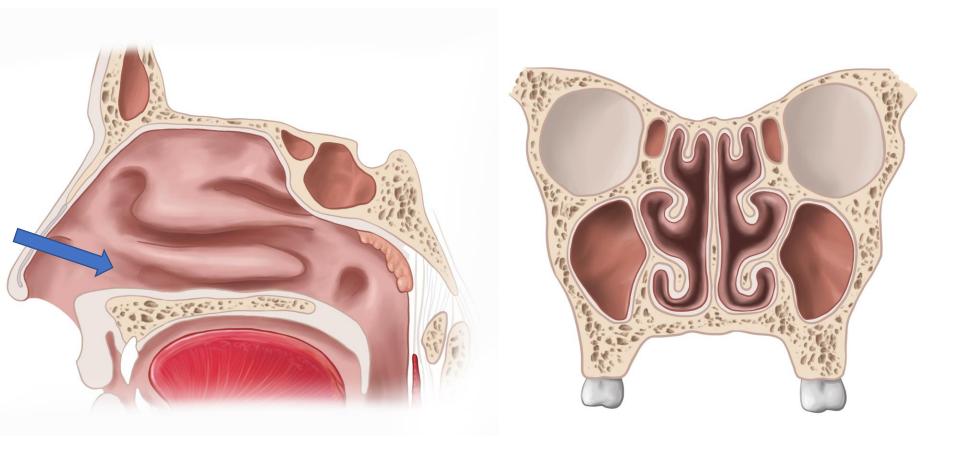
Rainer Dziewas^{1,2}, Emilia Michou^{3,4}, Michaela Trapl-Grundschober⁵, Avtar Lal⁶, Ethem Murat Arsava⁷, Philip M Bath⁸, Pere Clavé⁹, Jörg Glahn¹⁰, Shaheen Hamdy⁴, Sue Pownall¹¹, Antonio Schindler¹², Margaret Walshe¹³, Rainer Wirth¹⁴, David Wright¹⁵ and Eric Verin¹⁶

- Recommendation 3: We suggest a dysphagia assessment in all stroke patients failing a dysphagia screen and/or showing other clinical predictors of post-stroke dysphagia, in particular a severe facial palsy, severe dysarthria, severe aphasia or an overall severe neurological deficit (NIH-SS ≥ 10 points). Dysphagia assessment should be done as soon as possible. In addition to the clinical swallow examination, VFSS or, preferentially, FEES should be available.
- Quality of evidence: Low
- Strength of recommendation: Weak for intervention \uparrow ?



Passing the Scope



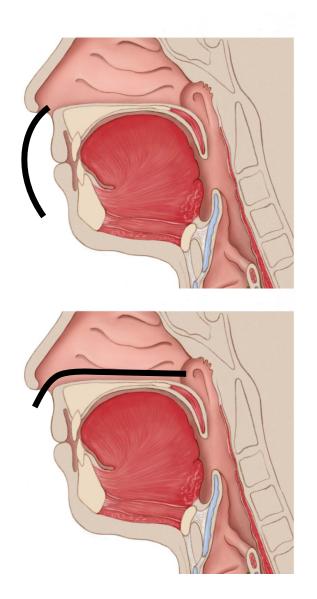


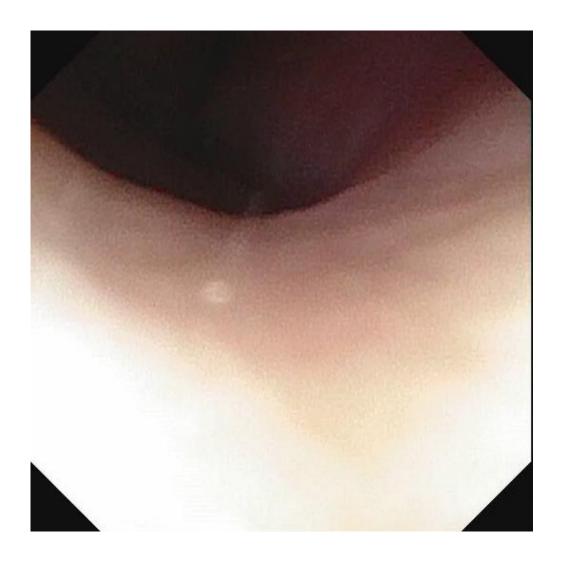
© Medical Graphics, Germany; with permission



Passing the Scope









Passing the Scpe



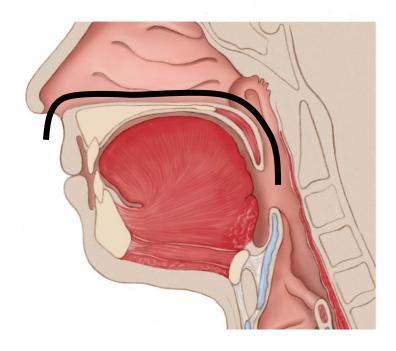
Nasenpassage



Reaching the Home Position

Home Position:

Overview of Pharynx & Larynx



Nasenpassage + Home position

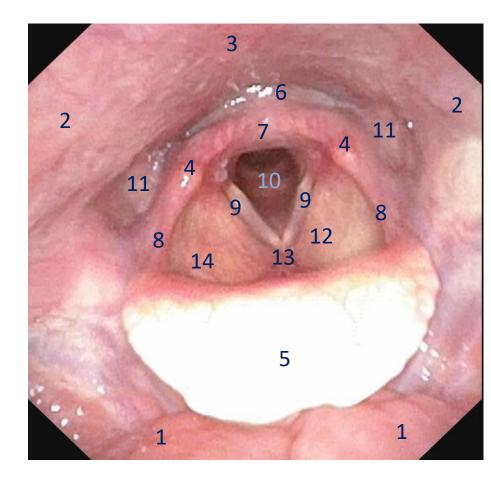
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Getting the anatoym right



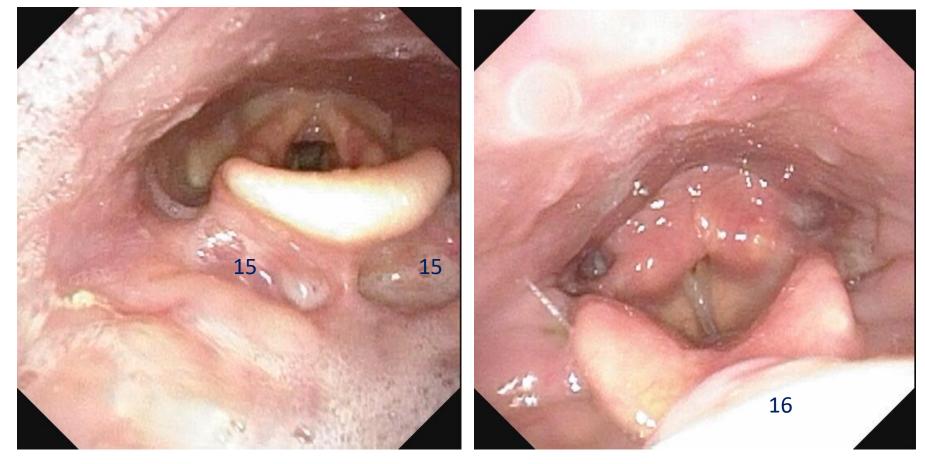
- 1 Base of the tongue
- 2 Lateral pharyngeal wall
- 3 Posterior pharyngeal wall
- 4 Arytenoid
- 5 Epiglottis
- 6 Upper esophageal sphincter
- 7 Plica interarythaenoidea
- 8 Plica aryepiglottica
- 9 Vocal cord
- 10 Trachea
- 11 Sinus piriformes
- 12 Vestibular folds
- 13 Commissura anterior laryngis
- 14 Aditus laryngis





Getting the anatomy right





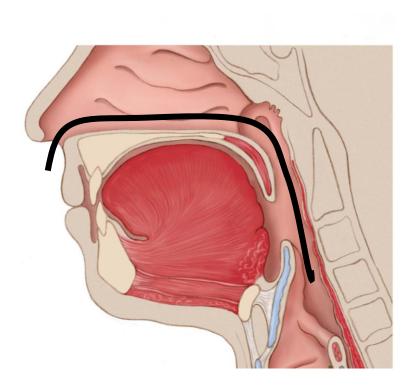
15 Valleculae

16 Uvula



Close View



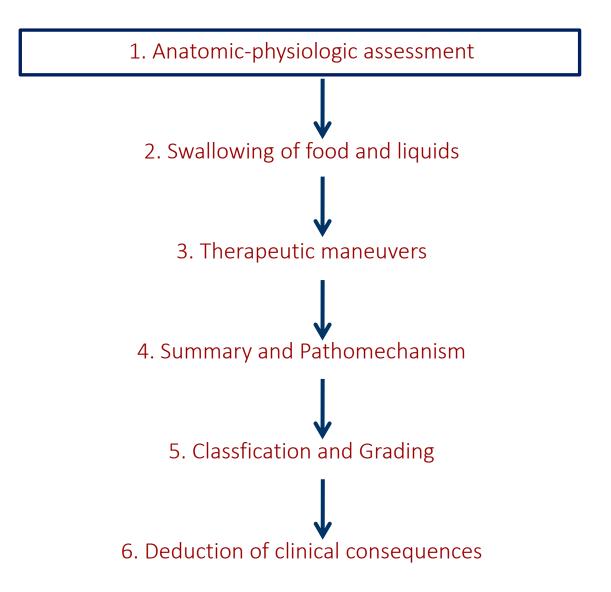






FEES standard protocol (Langmore protocol)







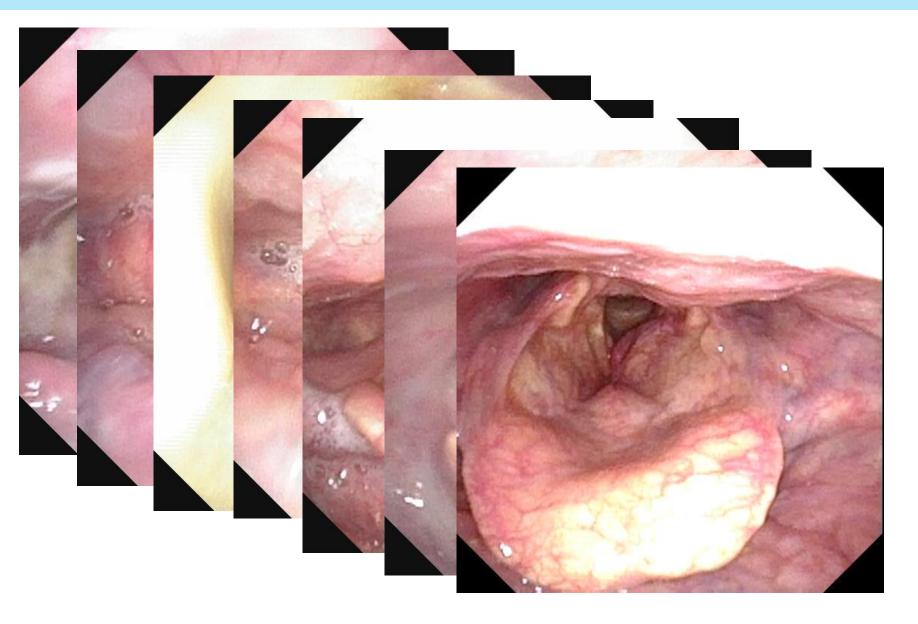


- Mucosal texture
- Symmetry and asymmetry
- Structural changes/abnormalities
- Unvoluntary movements
- Positioning of vocal cords, arytenoids and epiglottis
- Accumulation of saliva and secretions
- Spontaneous swallowing rate (2-4 per minute)
- Positioning of nasogastric tubes



Resting state examination structural abnormalities





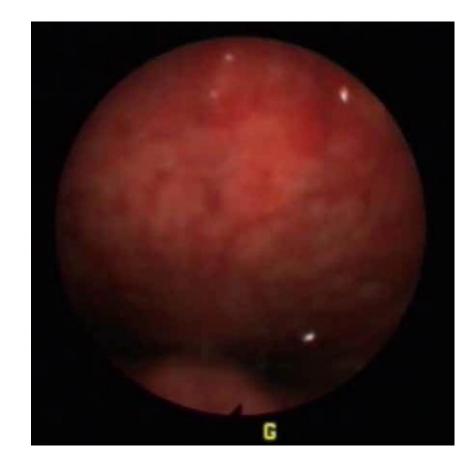


Resting state examination Structural changes



- 73 yr old male patient
- Subjective swallowing problems since 10 years
- Weight loss > 15 kg (BMI 17 kg/m2)
- Psychogenic dysphagia suspected by treating physicians
- Neurological exam unremarkable





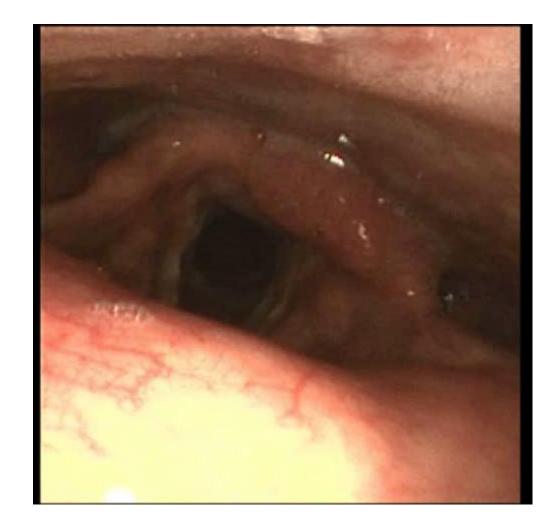
Forestier's disease = Diffuse idiopathic skeletal hyperostosis (DISH)



Resting state examination Structural changes



- 67 male patient
- Main complaint:
 - Pain during the swallow
- GI:
 - normal, go to ENT
- ENT:
 - normal, go to neurologist
- Neurologist (first idea):
 - Psychogenic problem use antidepressants

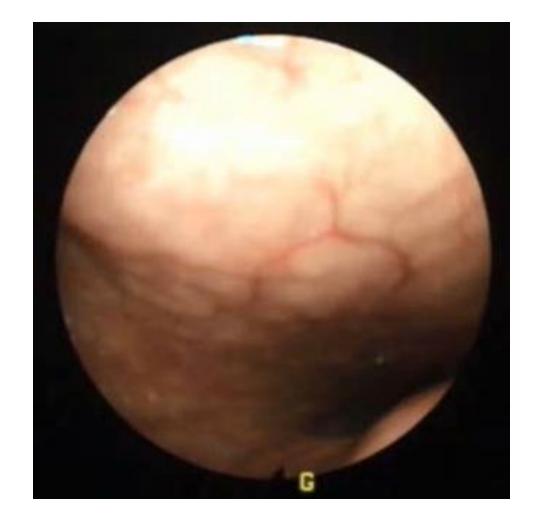




Resting state examination Involunatry movements



- 63 yrs old patient
- ALS since 1 year
- Increasing dysphagia





Resting state examination Involunatry movements



- 39 yrs old patient
- Chronic inflammatory brainstem lesion due to NMOSD
- Only mild dysphagia

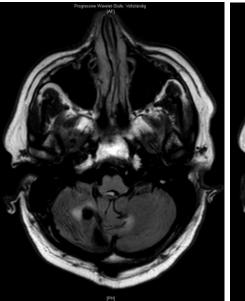


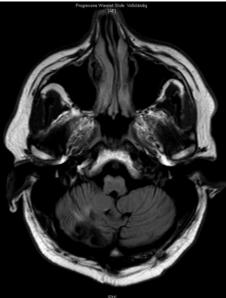


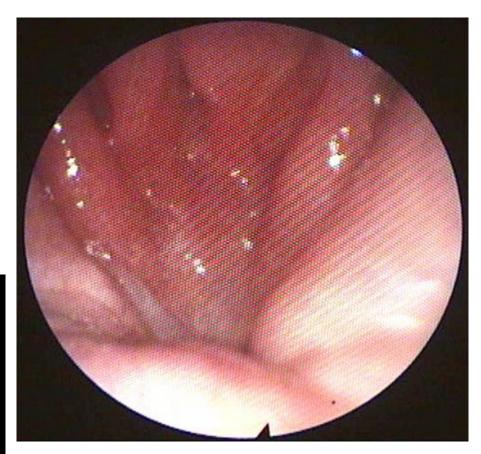
Resting state examination Involunatry movements



- 54 yrs old male patient
- Traumatic cerebellar hemorrhage 1 year ago
- Complaint of dysphagia and involuntary pharyngeal movements









Anatomic-physiologic assessment Secretion Rating



• Murray Secretion Severity Scale

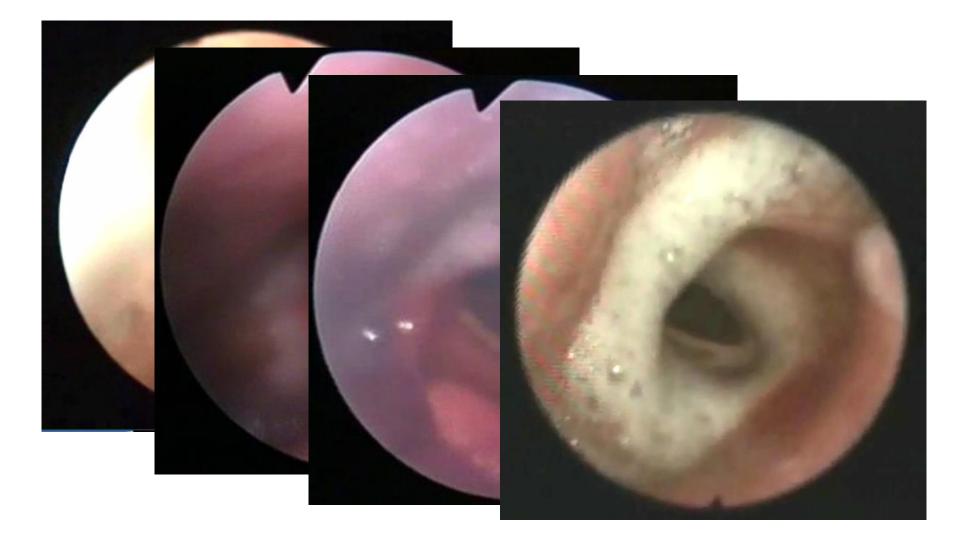
Grade	Finding
0	Normal (moist)
1	Valleculae/sinus piriformes
2	Transient pooling in the laryngeal vestibule
3	Permanent poolin in the laryngeal vestibule

Murray et al., 1996; Hey et al., 2015; Pluschinski et al., 2016; Scheel et al., 2016



Secretion Rating

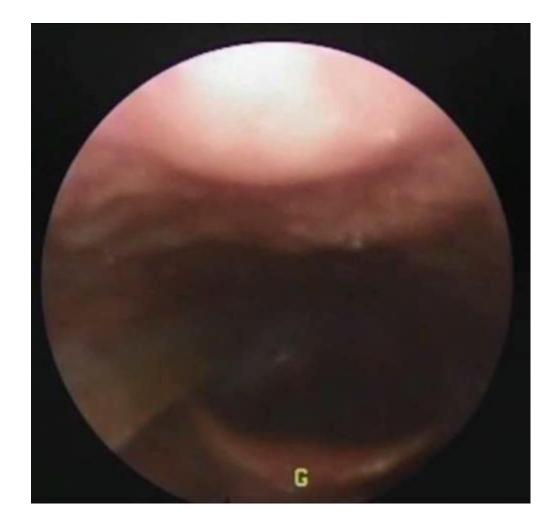






Anatomic-physiologic assessment Secretion Rating







Anatomic-physiologic assessment Secretion Rating



- 39 yr old male patient
- Polyneuritis cranialis
- Bilateral facial palsy and tongue palsy
- Gurgling voice
- Massive distress





Anatomic-physiologic assessment Motor Examination

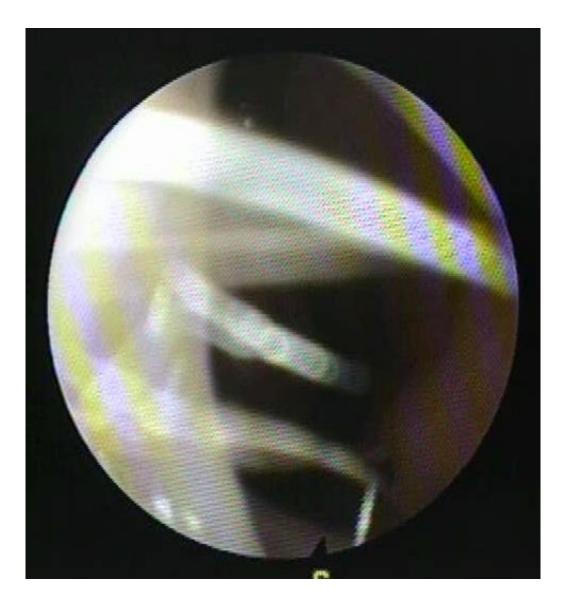


Task	Motor Function
Dry swallow, phonate [k]	Velopharyngeal closure
Phonation [eee]	Glottic closure
Repetitive phonation [e-e-e], volitional cough	Diadochokinetic movement of vocal folds and arytenoids
High pitch phonation	Pharyngeal wall recruitment
Sniffing	Vocal fold abduction
Hold breath tight	Ventricular fold adduction
Phonation of postvocalic "l" words ("earl", "ball", "call")	Base of tongue retraction



Anatomic-physiologic assessment Motor Examination



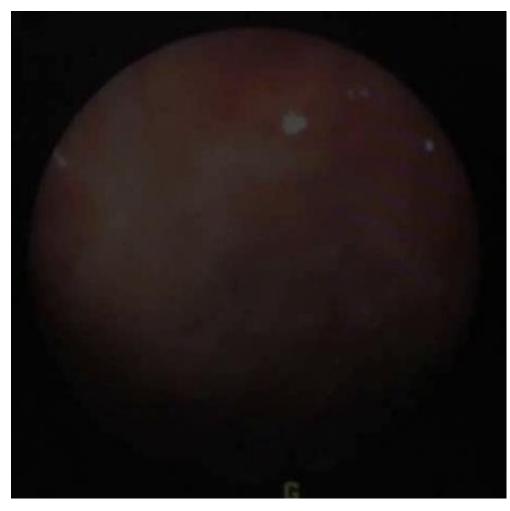




Anatomic-physiologic assessment Velopharyngeal Closure

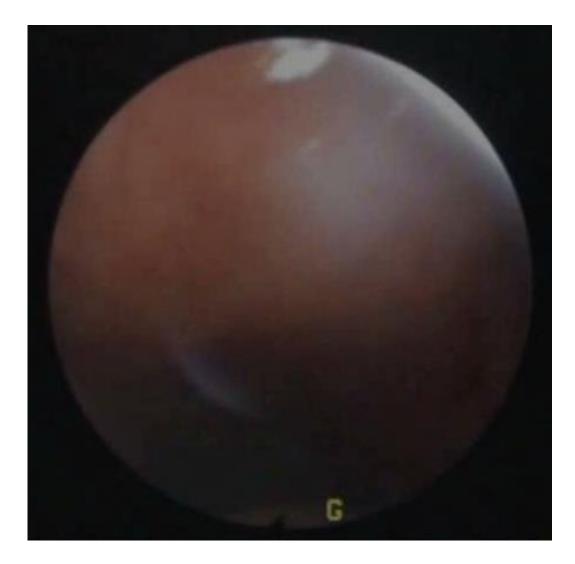


Task	Motor Function
Dry swallow, phonate [k]	Velopharyngeal closure



Anatomic-physiologic assessment Incomplete Velopharyngeal Closure





Anatomic-physiologic assessment Incomplete Velopharyngeal Closure



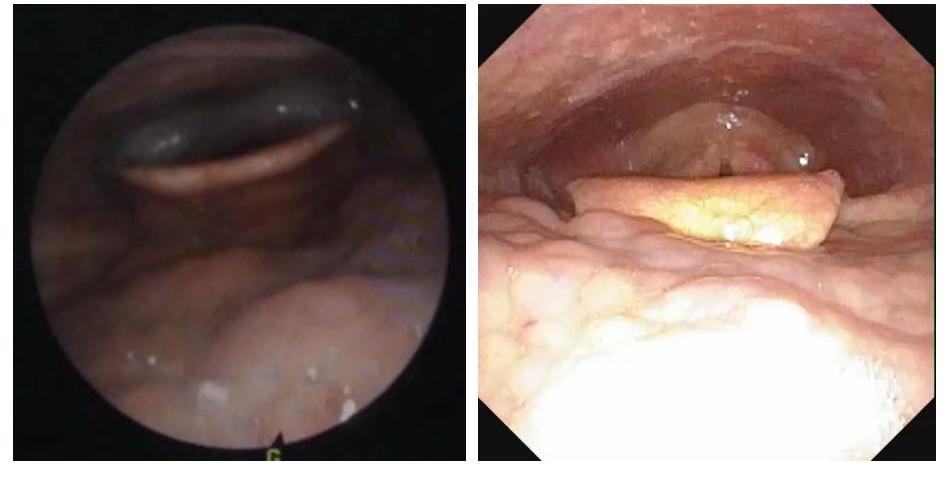




Anatomic-physiologic assessment Tongue Base Retraction



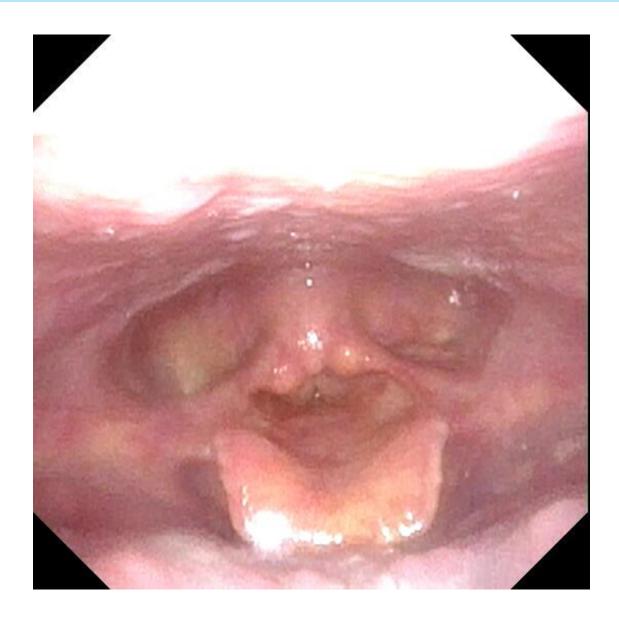
Task	Motor Function
Phonation of postvocalic "l" words ("earl", "ball", "call")	Base of tongue retraction





Anatomic-physiologic assessment Tongue Base Retraction





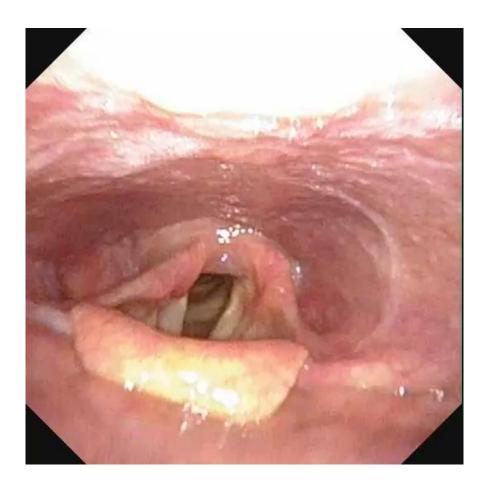


Anatomic-physiologic assessment Pharyngeal Wall Contraction



Task	Motor Function
High pitch phonation	Pharyngeal wall recruitment

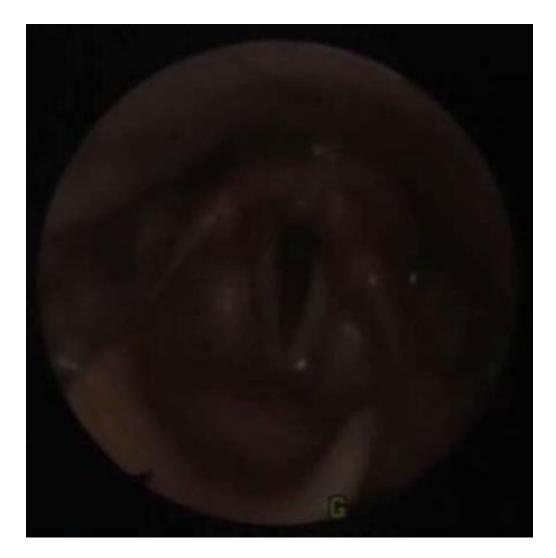






Anatomic-physiologic assessment Pharyngeal Wall Contraction



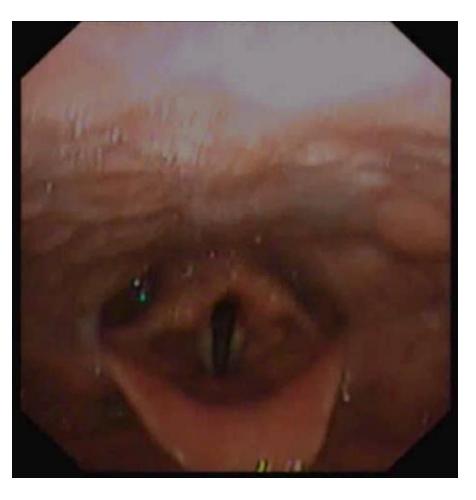


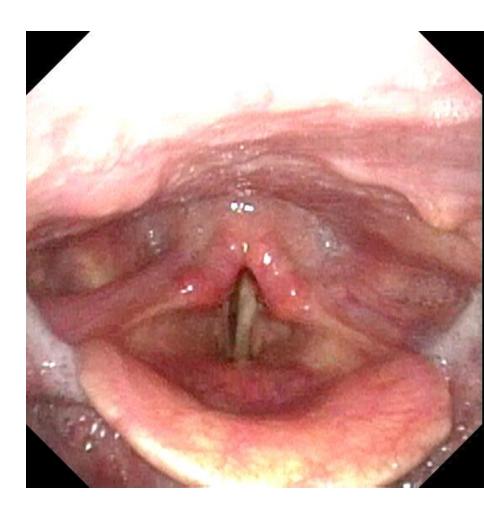


Anatomic-physiologic assessment Vocal Cord adduction



Task	Motor Function
Phonation [eee]	Glottic closure



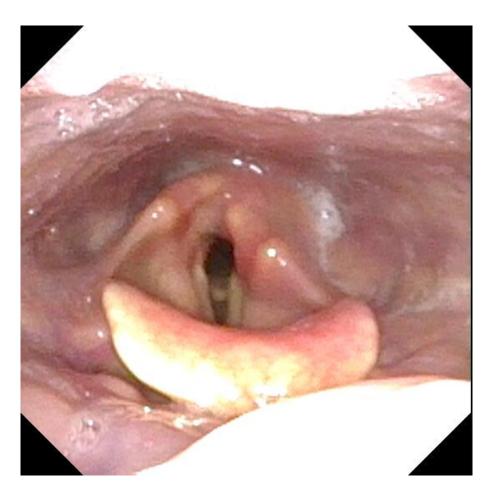




Anatomic-physiologic assessment Vocal Cord adduction









Anatomic-physiologic assessment Repetitive vocal cord adduction



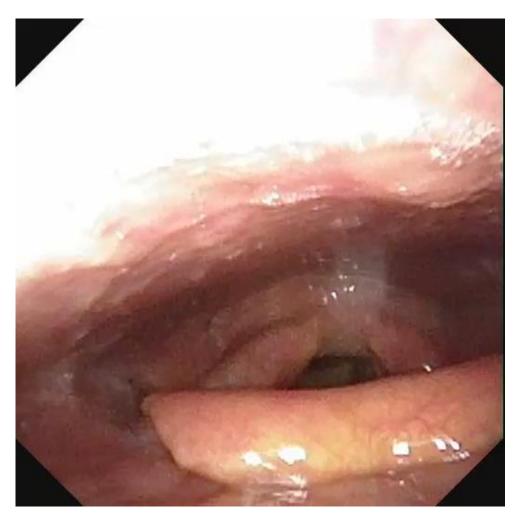
Task	Motor Function
Repetitive phonation [e-e-e], volitional cough	Diadochokinetic movement of vocal folds and arytenoids



Anatomic-physiologic assessment Vocal fold abduction



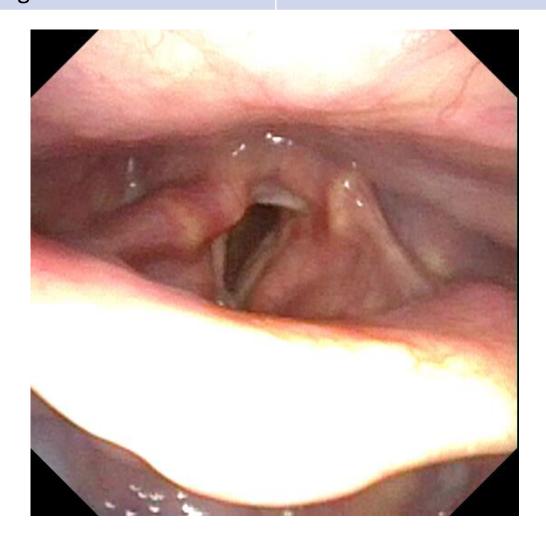
Task	Motor Function
Sniffing	Vocal fold abduction



Anatomic-physiologic assessment Glottal closure



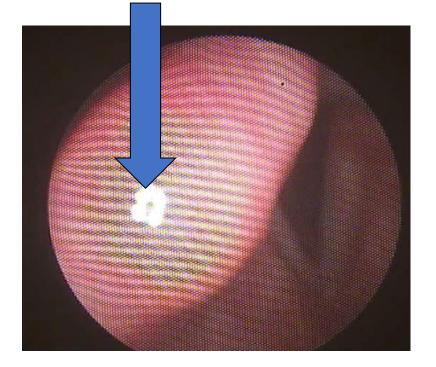
TaskMotor FunctionHold breath tightVentricular fold adduction





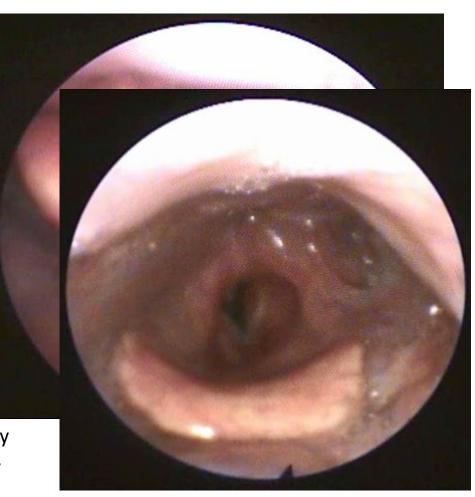
Anatomic-physiologic assessment Laryngeal sensitivity





- 3-point rating:
 - Normal
 - Reduced
 - duced -
- Normal – Reduced/absent.u
 - Absent
- Reduced/absent unilaterally
- Reduced/absent/bilaterally
- 2-point rating:
 - Normal
 - abnormal

Kaneoka et al., 2015; Scheel et al, 2016; Marian et al., 2017





Step 2 - Swallowing of Food & Liquids

The normal swallow viewed endosopically



- 1: Larynx in resting position
- 2: Bolus enters pharynx at the end of the oral stage; the swallow reflex is elicited.

3: Maximum contraction of pharyngeal constrictors; tip of the scope is surrounded by pharyngeal mucosa causing whiteout phenomenon

4: Pharyngeal constrictors are relaxing, epiglottis still inverted (so called post-swallow-stage); bolus has already passed into the esophagus

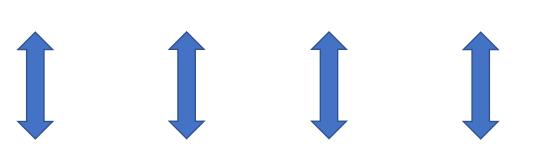
5: Reconfiguration of hyolaryngeal complex finished, end of pharyngeal swallow



Finding	Definition
Primature spillage	Oral stage problem; poor oral bolus control leads to premature bolus flow from the oral cavity into the pharynx
Delayed/absent swallow reflex	Pharyngeal stage problem; at the end of the oral stage the swallow reflex is not triggered wherupon bolus enters hypopharynx
Residues	(Part of the) bolus left in the hypopharynx after the swallow due to insufficient bolus propulsion
Penetration	Bolus enters the laryngeal vestibule but stays above or at vocal cords
Aspiration	Bolus enters subglottic region/trachea
Silent Penetration/Aspiration	Penetration or Aspiration without a reflexive cough

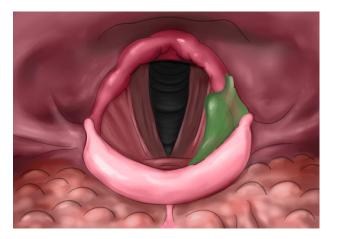


- Swallowing safety:
 - Protecting the airway during swallowing
 - Risk of airway invasion & related complications



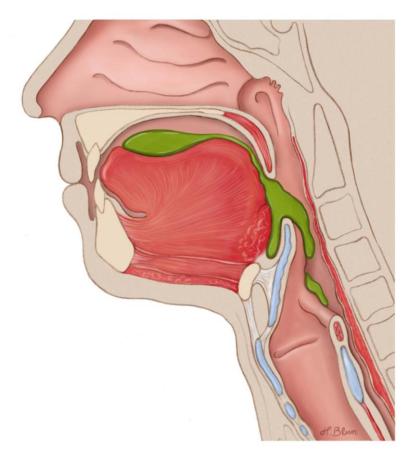
- Swallowing efficiency:
 - Clearing the bolus into the esophagus
 - Longer times for taking meals, insufficient oral intake, malnutrition

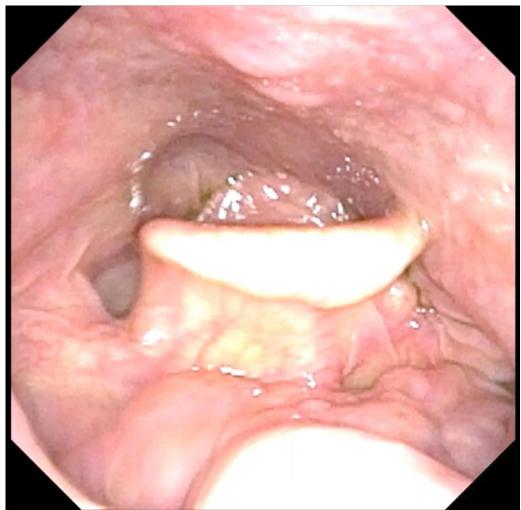






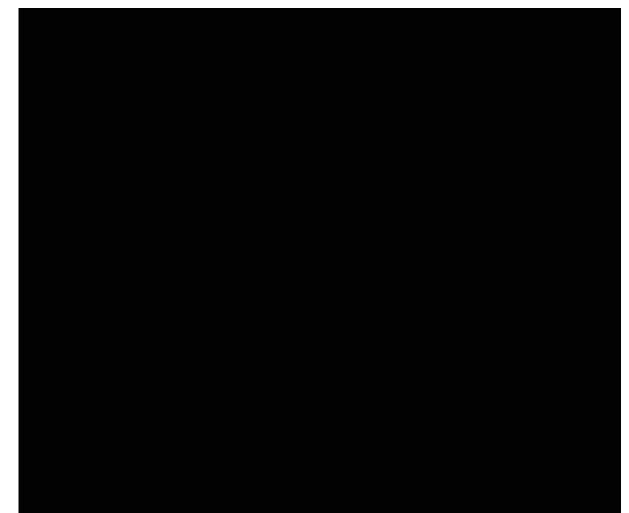
Premature Spillage







Primature Spillage



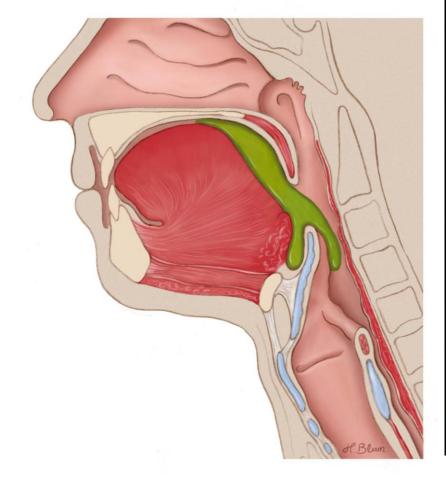


Primature Spillage

Grade	Finding
0	Base of the tongue
1	Valleculae
2	Tip of the epiglottis
3	Sinus piriformis
4	Laryngeal vestibule

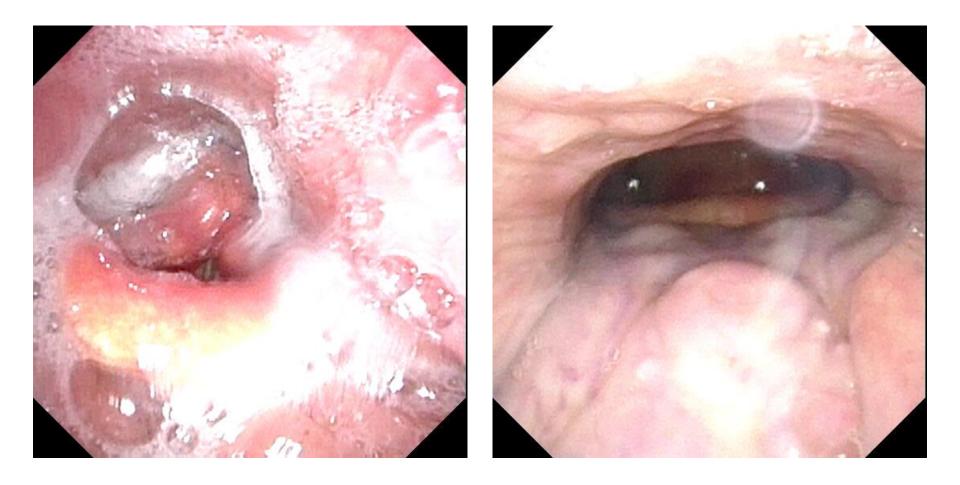


Delayed Swallow Reflex



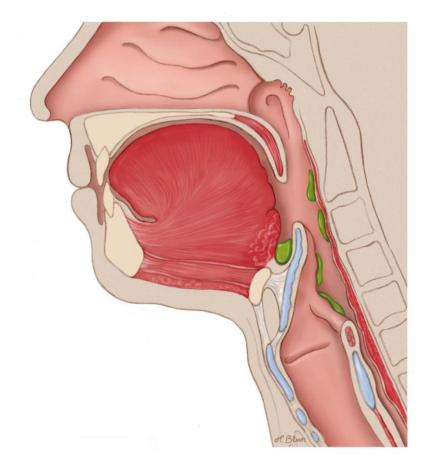








Residue







Residue => Swallowing efficiency

Grade	Finding 1 (Valleculae)	Finding 2 (Piriform sinus)
0	None (0%)	None (0%)
1	Trace (1–5 %, trace coating of the mucosa)	Trace (1–5 %, trace coating of the mucosa)
2	Mild (5–25 %, epiglottic ligament visible)	Mild (5–25 %, up wall to quarter full)
3	Moderate (25–50 %, epiglottic ligament covered)	Moderate (25–50 %, up wall to half full)
4	Severe (50 %, Filled to epiglottic rim)	Severe (50 %, filled to aryepiglottic fold)



Residue

Valleculae	Inadequate tongue retraction & impaired hyoid elevation
Lateral channels	Delayed/reduced laryngeal elevation & pharyngeal shortening
Pyriforms	Inadequate pharyngeal contraction & mistimed UES opening
Pharyngeal walls	Reduced pharyngeal contraction
Laryngeal surface of epiglottis	Delayed/reduced epilottic inversion
Arytenoid rim	Delayed/reduced arytenoid tilt
laryngeal vestibule/subglottic region	In adequate/late airway closure



Residue



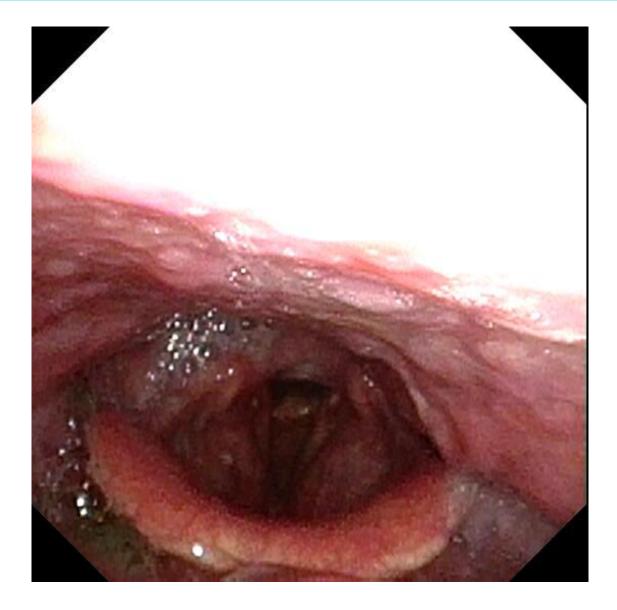


Residue



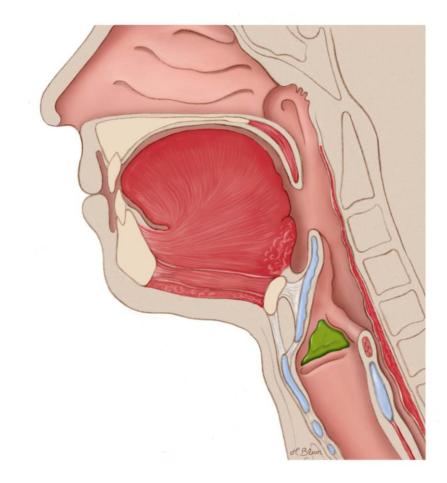


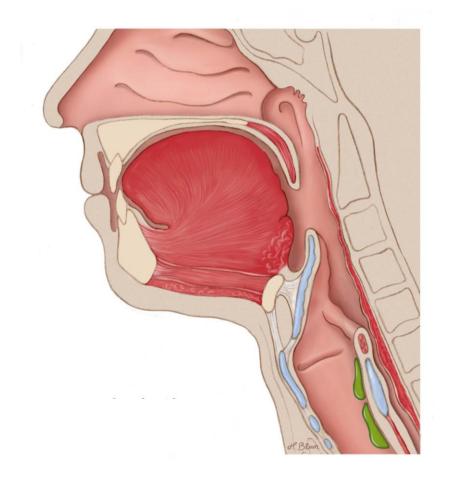
Residue





Penetration/Aspiration



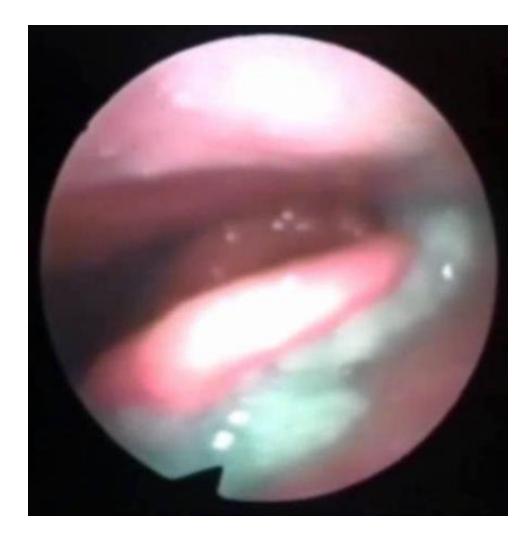




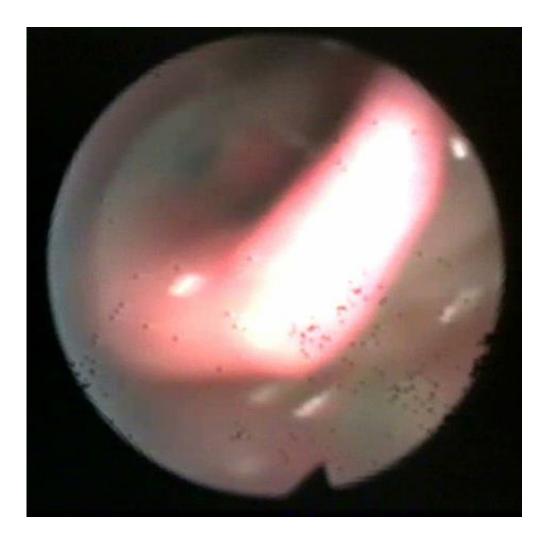
Penetration/Aspiration => Swallowing Safety

Category	Score	Findings
No Pen./Asp.	1	Contrast does not enter the airway
Penetration	2	Contrast enters the airway, remains above vocal folds, no residue
	3	Contrast remains above the vocal folds, residue remains
	4	Contrast contacts vocal folds, no residue
	5	Contrast contacts vocal folds; visible residue remains
Aspiration	6	Contrast passes glottis; no subglottic residue
	7	Contrast passes glottis; visible subglottic residue despite patient's response
	8	Contrast passes glottis; visible subglottic residue; absent patient response

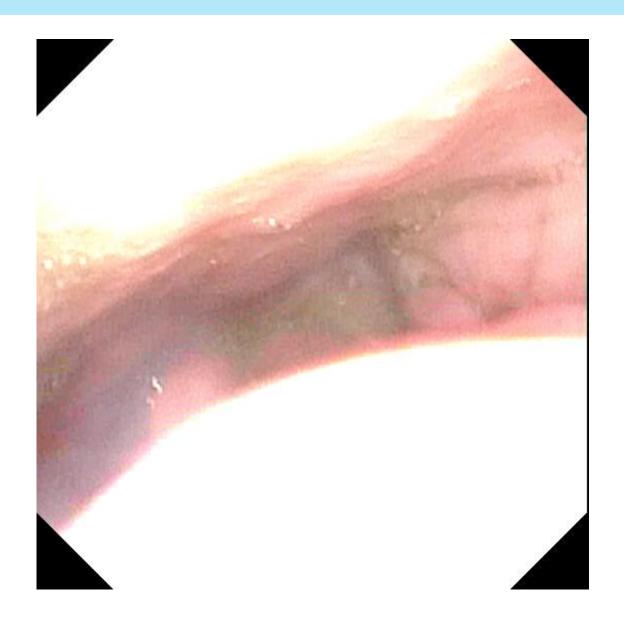








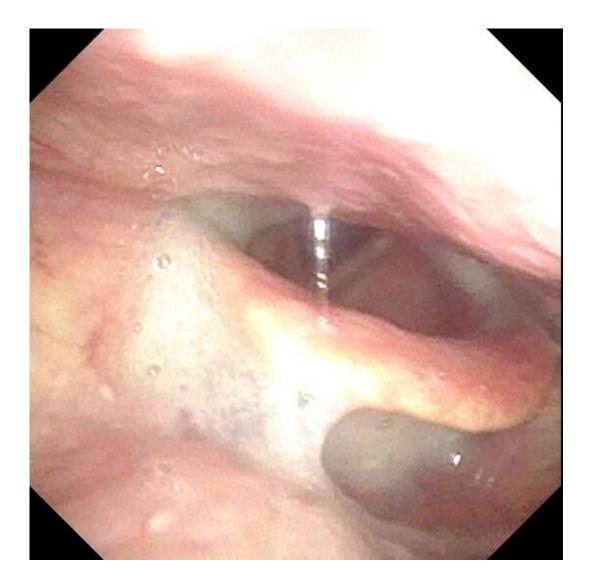




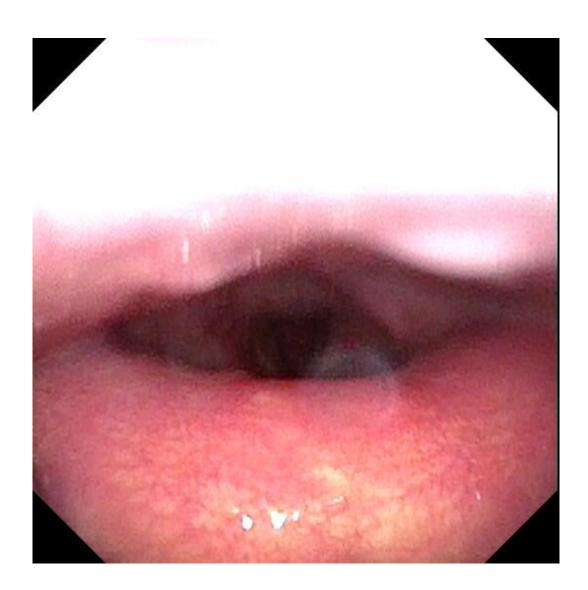














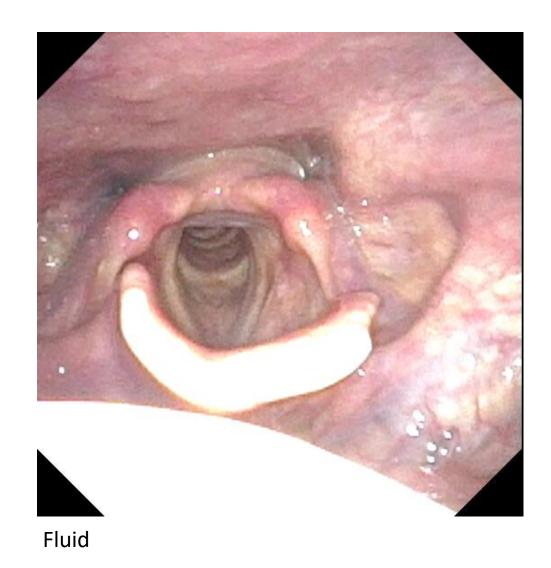
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Food and Fluid adaptation

- Liquid thickening
- Purreed food
- ...
- Posture changes
 - Chin tuck
 - Head turn
 - ...
- Swallowing Maneuvers
 - Effortful swallow
 - Supraglottic swallow
 - ...



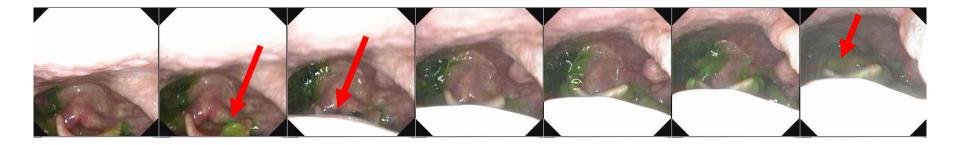
- 78 years, male patient
- IPS since 8 years
- No subjective complaints of swallowing impairment
- No dietary restrictions
- Clinical exam:
 - Coated voice
 - Frequent coughing and throat clearing



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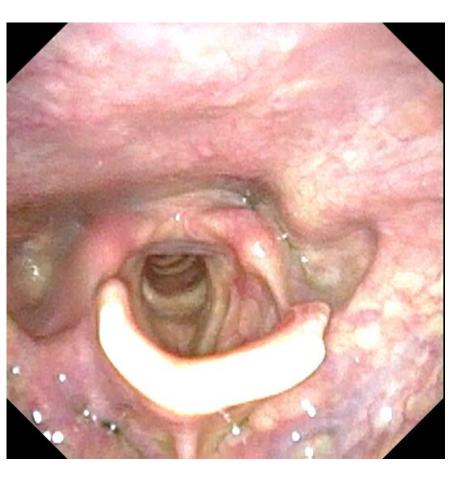
Frame-by-Frame analysis:

Premature spillage with penetration/aspiration along the laryngeal epiglottis and the aryepiglottic fold

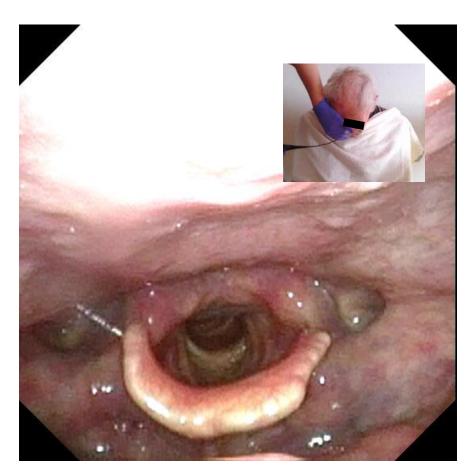




Liquid thickening



Chin-tuck maneuver





Step 4 - Summary & Pathomechanism



- Anatomy:
 - No secretions, no structural abnormalities
- Physiology:
 - Velo-pharyngeal closure intact
 - Symmetrical vocal cord adduction
 - Symmetrical pharyngeal wall contraction during high pitch phonation
 - Complete and multilevel closure of the laryngeal vestiuble during valsalva maneuver
 - Forceful tongue base retraction
 - Effective volitional cough



Step 4 - Summary & Pathomechanism



- Swallowing assessment:
 - Consistency 1:
 - Normal oral transfer
 - Swallowing reflex initiated at the tongue base
 - White out normal
 - Postdeglutitive no residues
 - Consistency 2:
 - Normal oral transfer
 - Swallowing reflex initiated at the tongue base
 - White out normal
 - Postdeglutitive no residues
 - Consistency 3:
 - ...



Step 4 - Summary & Pathomechanism



- Effect of swallowing maneuvers and food/fluid adaptation:
 - Improvement of oral bolus control/pharyngeal bolus clearance/swallowing safety by employing specific swallowing maneuvers.
- Pathomechanism:
 - Give the main salient findings
 - Suggest the most relevant pathomechanism



Thank you!





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Tobias Warnecke, Rainer Dziewas
Neurogene
Dysphagien
Diagnostik und Therapie

2., erweiterte und überarbeitete Auflage **Kohlhammer**

Neurogenic Dysphagia

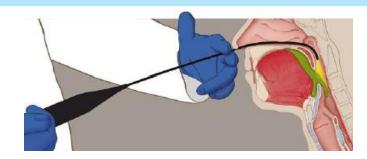
MOREMEDIA

Content

Tobias Warnecke Rainer Dziewas Susan Langmore

Springer





Specific FEES-protocols for neurogenic dysphagia

Rainer Dziewas

Department of Neurology and neurological rehabilitation Academic Teaching Hospital of the University Münster Klinikum Osnabrück



European Society for Swallowing Disorders









- Rainer Dziewas has received honoraria for serving as a speaker from:
 - Abbvie, Bayer healthcare, BMS, CSL Behring, Boehringer Ingelheim, Daiichi Sankyo, Fresenius, Merz, Nestle, Nutricia, Olympus, Pfizer
- Rainer Dziewas has worked as a consultant for:
 - Bayer healthcare, BMS, Boehringer Ingelheim, Covidien, Daiichi Sankyo, Fresenius, Infecto Pharm, Nestle, Nutricia, Pfizer
- Rainer Dziewas is member of the clinical advisory board of Phagenesis Ltd.

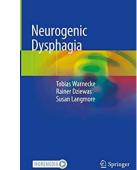
FEES-Protocols

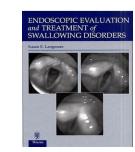
• General protocols:

- FEES-Standard-Protocol [Langmore, 2001]
- Ice-Chip-Protocol [Langmore, 2001]

• Disease- and/or task-specific protocols:

- FEDSS (Flexible Endoscopic Dysphagia Severity Scale; acute stroke) [Dziewas et al., Cerebrovasc Dis 2008; Warnecke et al., Cerebrovasc Dis 2009]
- FEES-L-Dopa-Test (Parkinsonian syndromes) [Warnecke et al., Movement Disord 2010]
- FST & FEES-Tensilon-Test (Fatigable Swallowing Test; myasthenic syndromes) [Dziewas et al., J Clin Neuromusc Dis 2006; Warnecke et al., J Neurol 2008; Im et al., Eur J Neurol 2017; Warnecke et al., Ther Adv Neurol Disord 2021]
- SESETD-Protokoll (Standardized Endoscopic Swallowing Evaluation for Tracheostomy Decannulation; tracheotomized patients) [Warnecke et al., Crit Care 20113; Warnecke et al., Neurol Res Pract 2020; Muhle et al, Neurol Res Pract 2021]
- FEES-LSR-Test (Laryngeal Swallow Response; Critical Care) [Labeit et al., Neurogastroenterol Motil 2019]
- Dual-Task Paradigm (Movement Disorders, Dementias) [Muhle et al., Sci Rep 2020; Labeit et al., Eur J Neurol 2021]
- MSA-Protocol (Laryngeal Movement Disorders in multiple system atrophy) [Gandor et al., Movement Disord 2020; Vogel et al., Movement Disord 2021]
- Medication-Dysphagia (Parkinonsian syndromes; overarching scoring system) [Labeit et al.; under review]







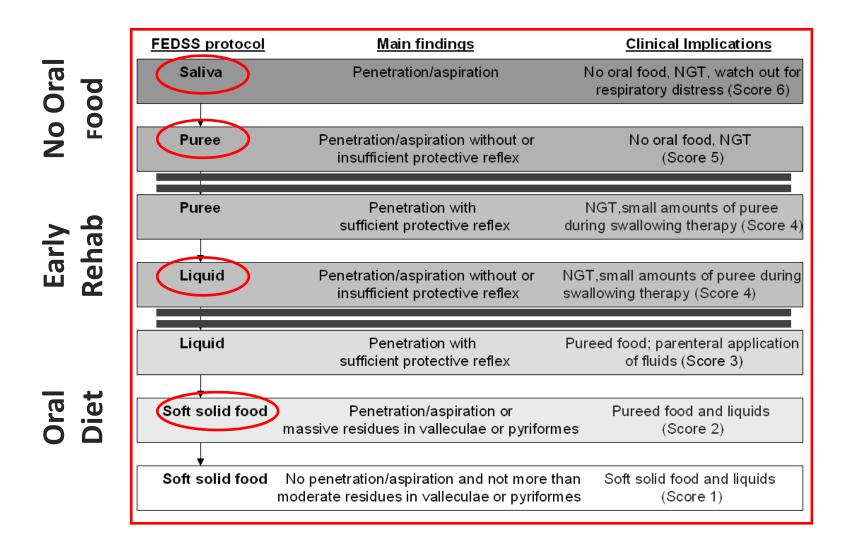




- Background:
 - >50% of acute stroke patients affected
 - Dysphagia increases risk of
 - Pneumonia
 - ICU-treatment and mechanical ventilation
 - Bad outcome and mortality
- Needs and Challenges regarding dysphagia assessment:
 - Easy to use
 - Risk stratification
 - Deduction of clinical consequences
 - Protecitve strategies
 - Rehabilitative strategies

Specific Protocols Flexible Endoscopic Dysphagia Severity Scale

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Specific Protocols Flexible Endoscopic Dysphagia Severity Scale

- FEDSS:
 - Acute stroke patients within 72 hours of stroke onset
 - Interrater reliability: κ coefficient 0,89 (p < 0,001)
 - Strong and independant predictor of complications and 3month outcome
 - Used as primary endpoint in interventional stroke trials

	Odds ratio	p value		
Occurrence of pneumonia				
Sex	0.47 (0.16 to 1.41)	0.18		
Age	1.01 (0.96 to 1.06)	0.69		
NIH-SS	1.10 (1.01 to 1.21)	< 0.05		
FEDSS	2.30 (1.61 to 3.27)	< 0.001		
Necessity of endotracheal intubation				
Sex	0.83 (0.22 to 3.07)	0.78		
Age	0.95 (0.90 to 0.99)	< 0.05		
NIH-SS	1.18 (1.05 to 1.32)	< 0.05		
FEDSS	2.38 (1.54 to 3.68)	< 0.001		
Dependency at 3 months				
Sex	0.70 (0.32 to 1.52)	0.37		
Age	1.05 (1.02 to 1.09)	< 0.05		
NIH-SS	1.16 (1.07 to 1.27)	< 0.001		
FEDSS	1.49 (1.13 to 1.97)	< 0.05		

Table 1. Results of multivariate logistic regression analysis looking for variables significantly associated with endotracheal intubation

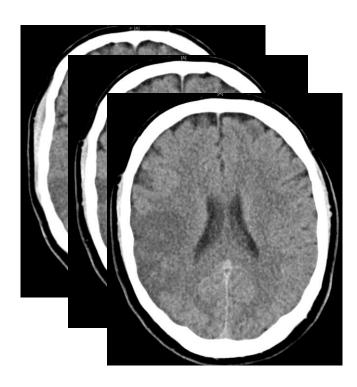
	OR (95% CI)	
Age	0.96 (0.89-1.03)	n.s.
NIHSS score	0.97 (0.87-1.20)	n.s.
Saliva penetration/aspiration	10.58 (3.38-33.10)	p < 0.00

Dziewas et al., Cerebrovasc Dis 2008; Warnecke et al., Cerebrovasc Dis 2009





- 59-year-old male patient
- Right MCA-Infarction
- NIH-SS 18
- Increased demand for O2





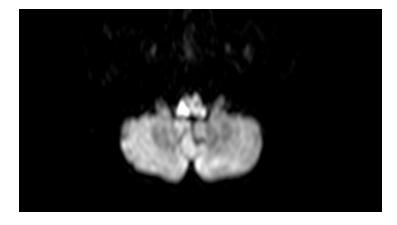


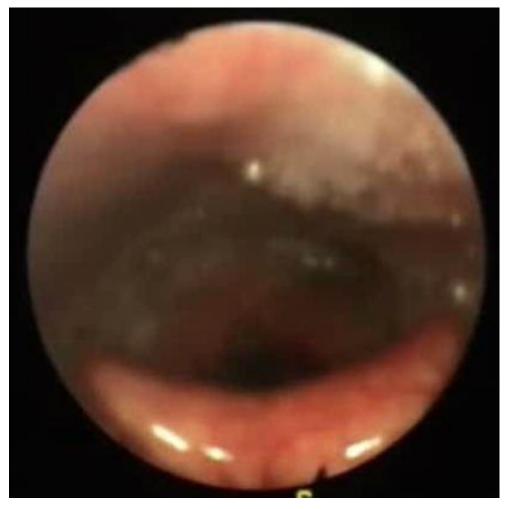
FEDSS protocol	Main findings	<u>Clinical Implications</u>
Saliva	Penetration/aspiration	No oral food, NGT, watch out for respiratory distress (Score 6)
Puree	Penetration/aspiration without or insufficient protecti∨e reflex	No oral food, NGT (Score 5)
¥		
Puree	Penetration with sufficient protective reflex	NGT,small amounts of puree during swallowing therapy (Score 4)
Liquid	Penetration/aspiration without or insufficient protecti∨e reflex	NGT,small amounts of puree during swallowing therapy (Score 4)
—		
Liquid	Penetration with sufficient protective reflex	Pureed food; parenteral application of fluids (Score 3)
—		
Soft solid food	Penetration/aspiration or massi∨e residues in ∨alleculae or pyrifor	mes (Score 2)
Soft solid food	No penetration/aspiration and not more moderate residues in valleculae or pyrifor	



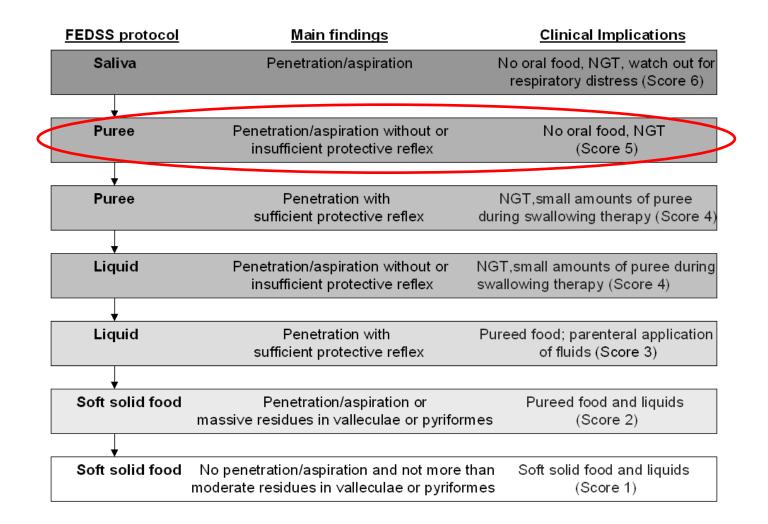
Case history:

- 70yrs old female patient
- Sudden onset of dysarthria, right sided Horner's syndrome, dissociated sensory deficit of the left side
- MRI: dorsolaterale infarction of the medulla oblongata
- FEES at day 2



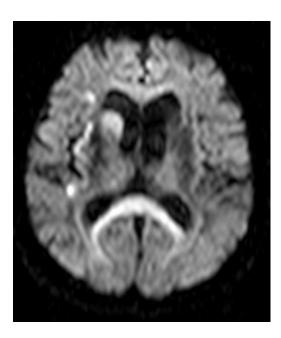






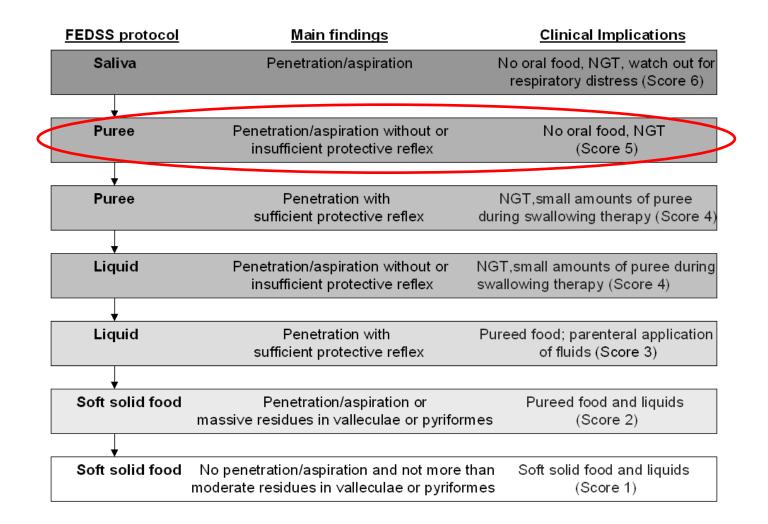


- Case history:
 - 76 yrs old female patient
 - right sided MCA-infarction
 - NIH-SS 11
 - FEES at day 1









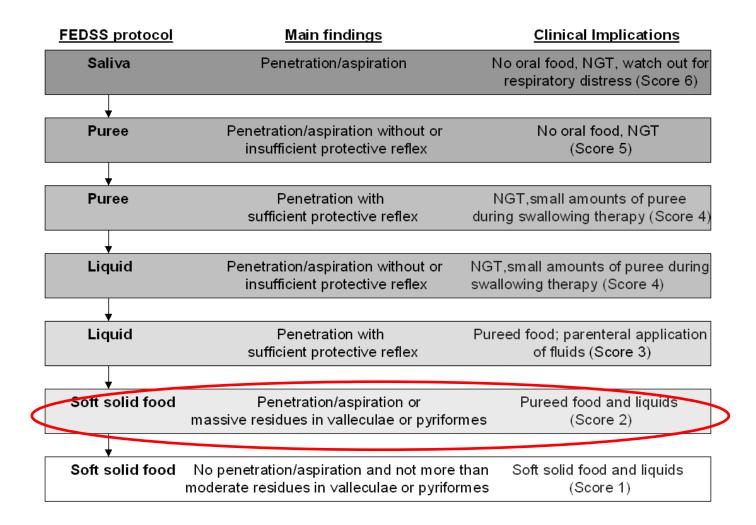


- Case history:
- 72 yrs. male patient
- Right-sided MCA infarction
- NIHSS 5
- FEES at day 2
- Normal diet already ordered





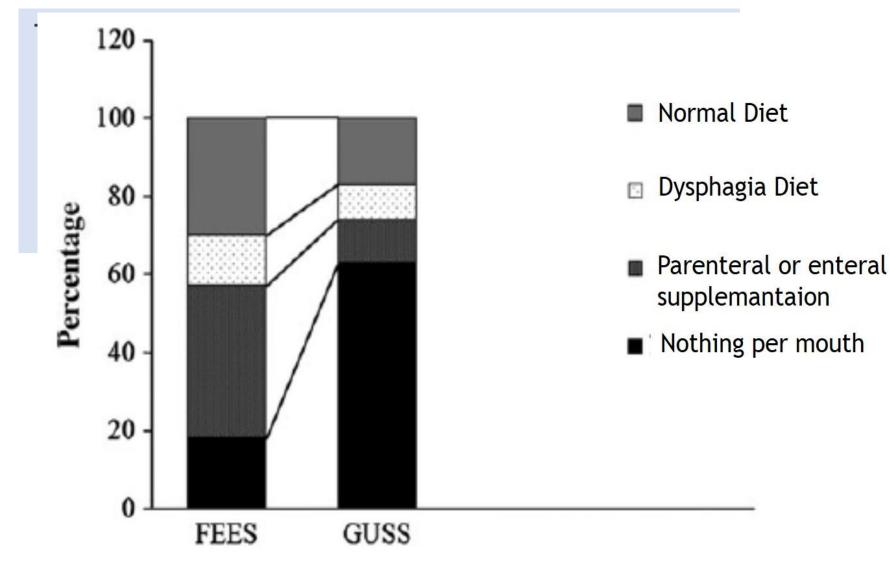






FEDSS - impact on dysphagia management



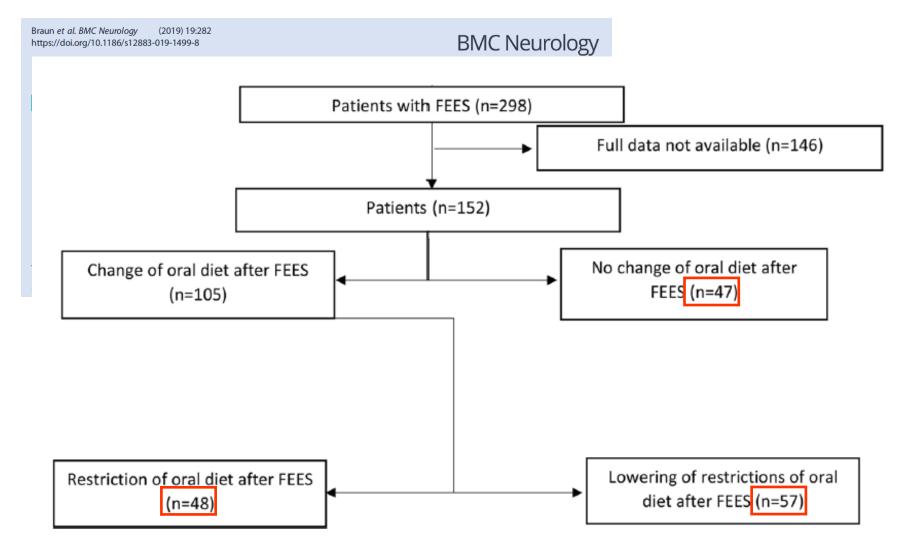


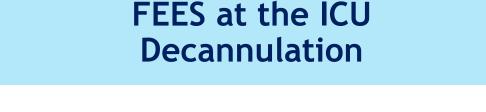
European Journal of Neurology 2017, 24: 594–601



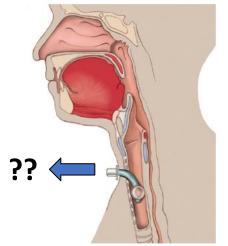
FEDSS - impact on dysphagia management







- Tracheotomy is a frequent procedure on the ICU (10-15% of patients) and even more frequent in stroke patients (15-35%)
- Indications:
 - Prolonged artificial ventilation
 - Demand to clear pulmonary secretions
 - Inability to protect the airway
- Decannulation:
 - In the neurologically ill dysphagia is the main obstacle to decannulation
 - Precise assessment of airway safety is of critical importance to enable safe decannulation without any delay.







Specific Protocols SESETD

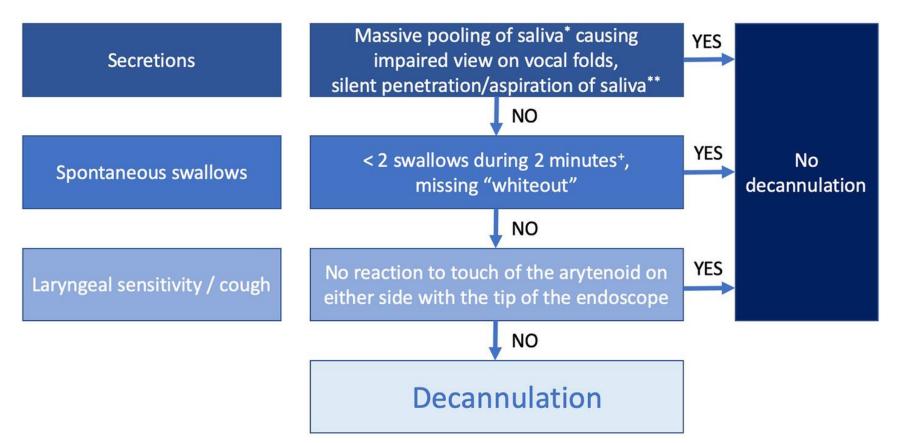


SESETD protocol

(Standardized Endoscopic Swallowing Evaluation for Tracheostomy Decannulation)

FEES PROTOCOL STEPS

MAIN FINDINGS



Warnecke et al., Crit Care 2013; Warnecke et al. Neurol Res Pract 2020; Muhle et al., Neurol Res Pract 2021







Table 1 Inter-rater reliability in a group of 'experts' and 'non-experts' (*Krippendorff's α; **Cronbach's α)

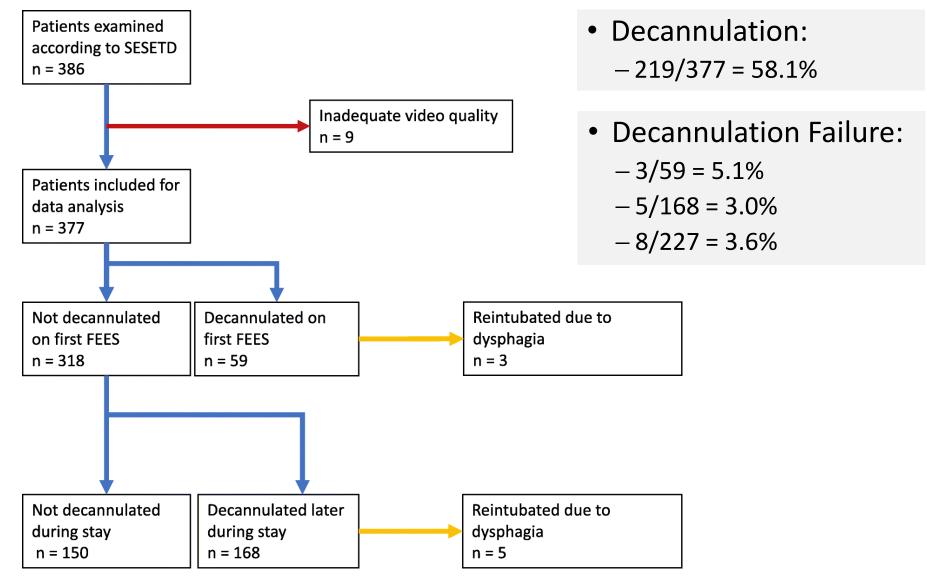
Item tested	α in the group of 'experts' (95%-confidence interval)	α in the group of 'non-experts' (95%-confidence interval)		
Secretion	0.92 (0.84–1.00)*	0.88 (0.78–0.96)*		
Spontaneous Swallows	1.00 (1.00-1.00)*	0.87 (0,78–0.96)*		
Laryngeal Sensibility/Cough	0.73 (0.59–0.86)*	0.68 (0.54–0.82)*		
Decannulation	0.87 (0.76–0.96)*	0.77 (0.63–0.89)*		
Sum score	0.94 (0.87–0.98)**	0.91 (0.77–0.99)**		

Table 2 Test-retest reliability in a group of 'experts'

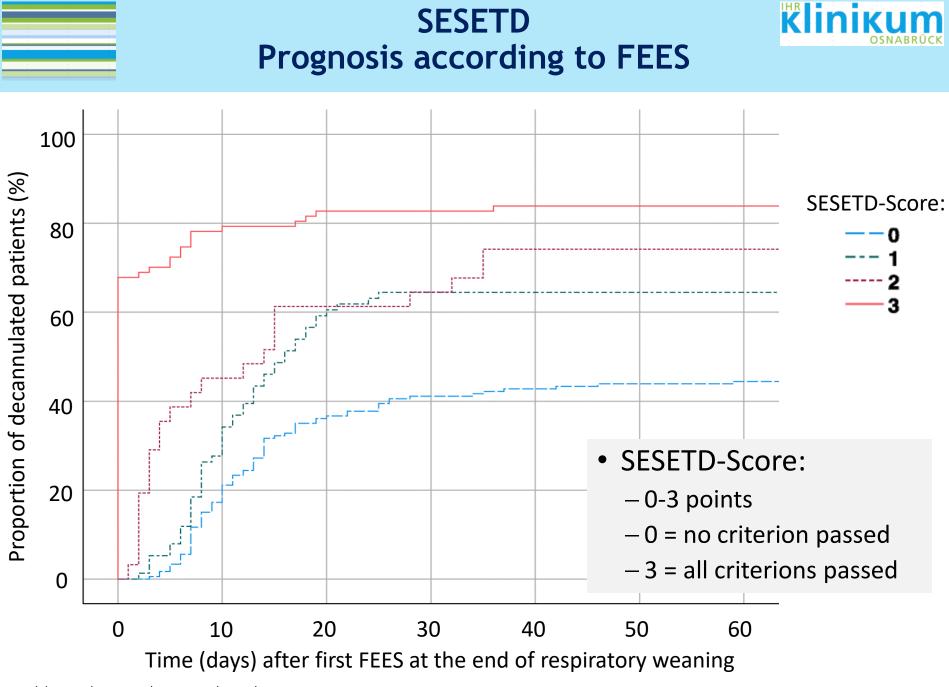
Item tested	Light's к (95%-confidence interval)		
Secretion	1.0 (1.00–1.00)		
Spontaneous Swallows	0.93 (0.81–1.05)		
Laryngeal Sensibility/Cough	0.76 (0.41–1.11)		
Decannulation	0.86 (0.64–1.09)		

SESETD Decannulation Failure





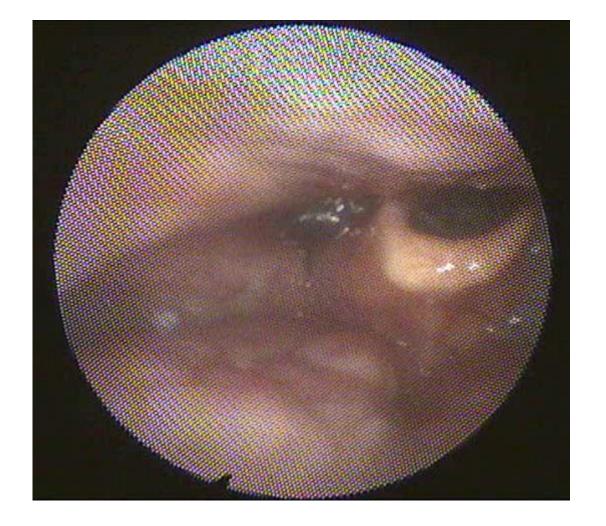
Muhle et al. Neurol Research and Practice 2021

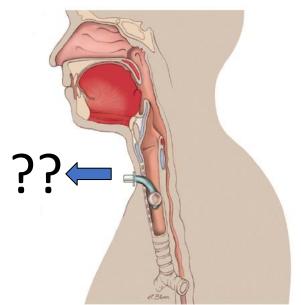


Muhle et al. Neurol Research and Practice 2021



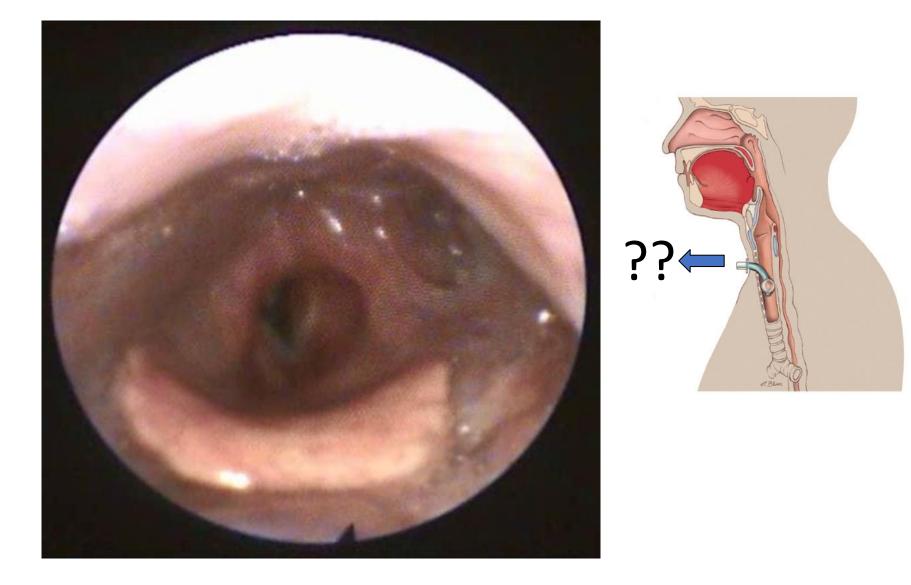






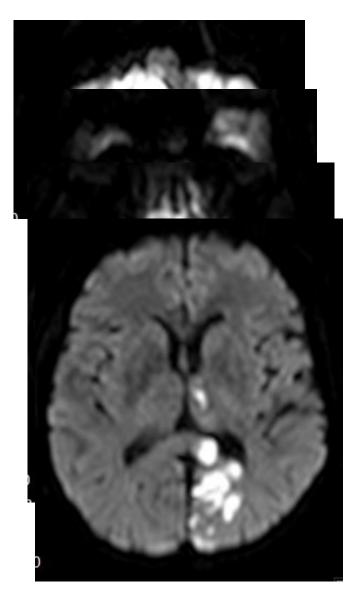


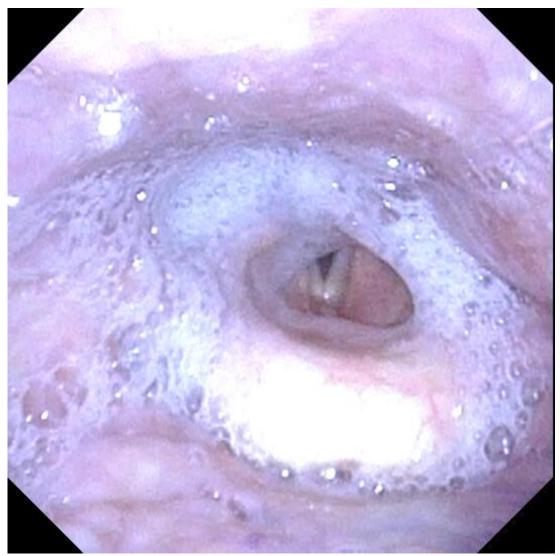






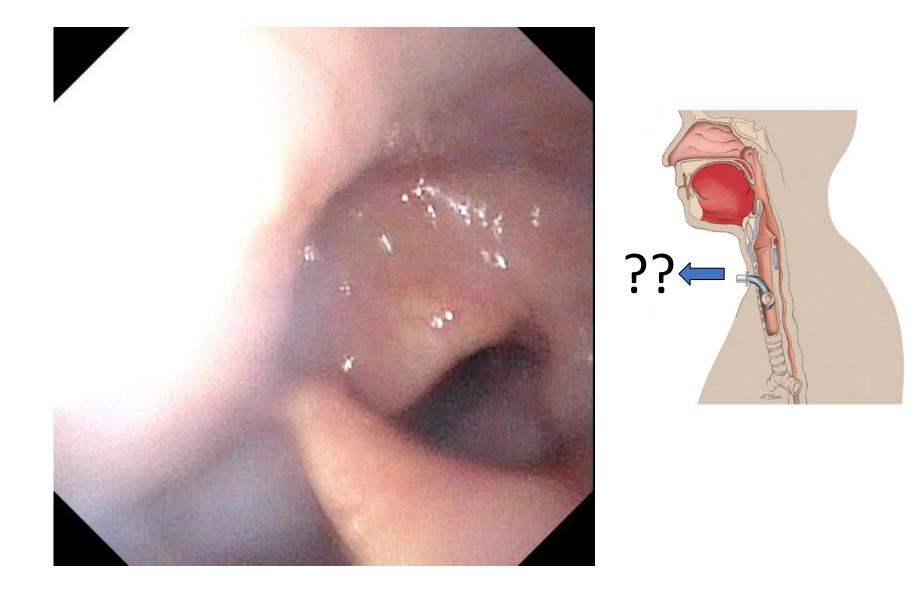








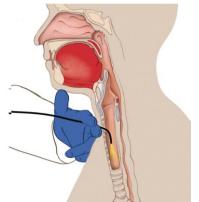








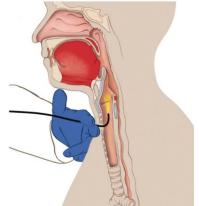
















- 50-70% of patients suffer from dysphagia
 - Silent aspiration of saliva in 10-30% of patients
 - Manifestation of dysphagia:
 - 10 years after disease-onset in PD
 - 5 years in APD
 - Survival after onset of dysphagia: 1 to 2 years
 - Malnutrition and aspiration pneumonia are the major causes of death
- PD-related dysphagia is known to be responsive to L-Dopa in part of the patients.



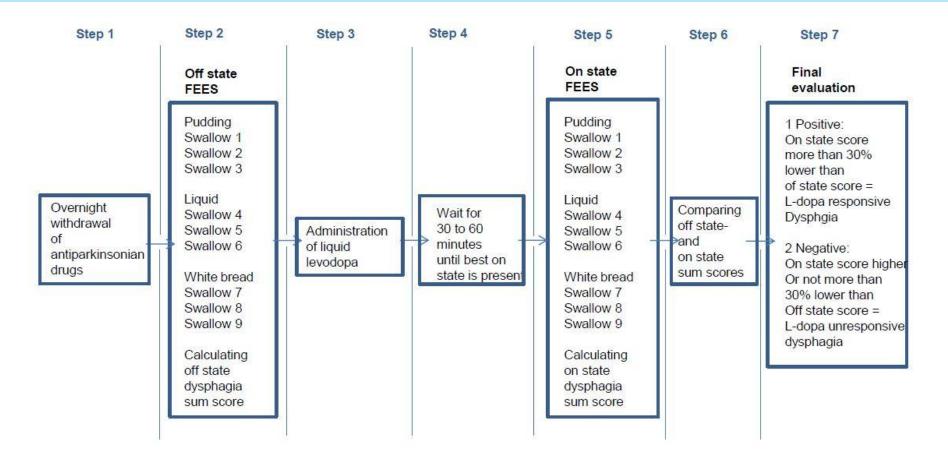
Semisolid Swallow 1 Swallow 2 Swallow 3	Liquid Swallow 1 Swallow 2 Swallow 3 Rating for every swallow:	Solid Swallow 1 Swallow 2 Swallow 3
Premature spillage: 0: The bolus is behind the tongue 1: The bolus is at the base of tongue or valleculae 2: The bolus moves to lateral channels or the tip of the epiglottis 3: The bolus is in the piriforms or touches the laryngeal rim 4: The bolus falls into the laryngeal vestibule	Penetration/aspiration 0: No penetration-aspiration event 1: Penetration with protective reflex 2: Penetration without protective reflex 3: Aspiration with protective reflex 4: Aspiration without protective reflex	Pharyngeal residue 0: No residues 1: Coating, no pooling 2: Mild pooling, less than half of the cavities 3: Moderate pooling, fills the cavities 4: Severe pooling, overflows the cavities

Test is done twice: **First** without (off-state condition) and **second** with L-Dopa (on-state condition)

Warnecke et al., Movement Disord 2010; Warnecke et al., Parkinsonism Related Disord 2016; Labeit et al., Parkinsons Dis 2020







Definition of L-Dopa-responsive Dysphagia: On-state score ≥ Off-state score + 30%

Warnecke et al., Parkinsonism Relat Disord 2016;28:100-6





FEES-Levodopa-Test Off state condition

FEES-Levodopa-Test On state condition

Table 3



PD patients	Dysphagia score	Description of swallowing dysfunction in the off state condition	Dysphagia score	Levodopa responsiveness
	Off state		On state	
1	15	Mild dysphagia with vallecular residue of solid consistencies	8	positive
2	58	Severe dysphagia with aspiration of liquid and semisolid consistencies	55	negative
3	47	Moderate dysphagia with liquid aspiration and vallecular residue of semisolid and solid consistencies	17	positive
4	84	Severe dysphagia with aspiration of all consistencies	86	negative
5	80	Severe dysphagia with aspiration of all consistencies	78	negative
6	17	Mild dysphagia with vallecular residue of solid consistencies	17	negative
7	59	Severe dysphagia with aspiration of all consistencies	22	positive
8	24	Mild dysphagia with vallecular residue of solid consistencies	12	positive
9	31	Mild dysphagia with vallecular residue of semisolid and solid consistencies	14	positive
10	39	Mild to moderate dysphagia with premature spillage of liquid and vallecular residue of semisolid and solid consistencies	46	negative
11	63	Severe dysphagia with aspiration of all consistencies	62	negative
12	32	Mild to moderate dysphagia with premature spillage of liquid and vallecular residue of semisolid and solid	14	positive
13	44	consistencies Moderate dysphagia with premature spillage of liquid and solid consistencies as well as vallecular residue of 4 semisolid and solid consistencies		negative
14	55	Severe dysphagia with aspiration of liquid and semisolid consistencies as well as vallecular residue of solid consistencies	47	negative
15	26	Mild dysphagia with premature spillage of liquid and vallecular residue of solid consistencies	11	positive

Dysphagia scores in the off state and on state condition were calculated from the results of the final analysis after joint discussion. See method section for description of rating the overall severity of swallowing dysfunction in the off state condition; FEES = fiberoptic endoscopic evaluation of swallowing; PD = Parkinson's disease; UPDRS = Unified Parkinson Disease Rating Scale.

Multiple System Atrophy Specific Laryngeal Movement Disorders

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RESEARCH ARTICLE

CME Laryngeal Movement Disorders in Multiple System Atrophy: A Diagnostic Biomarker?



TABLE 2. Demographic data of cohorts

online video

Clinical Characteristics	MSA, n = 57	PD, n = 57	Р
Women:Men	35 : 22	28 : 29	0.19
Age, y	64 (59–71)	67 (60–73)	0.06
Disease duration, y	4 (3–5)	7 (5–10)	< 0.0001
Disease severity, Hoehn & Yahr stage	4 (3-4)	3 (2-4)	< 0.0001
UPDRS I	3 (2.0-4.3)	4 (1-7)	0.09
UPDRS II	17.5 (13.8–24)	12 (7-17)	< 0.0001
UPDRS III	35.5 (29.8-41.8)	28 (19–36)	<0.01

Data are median (interquartile range).

MSA, multiple system atrophy; PD, Parkinson's disease; UPDRS, Unified Parkinson's Disease Rating Scale.

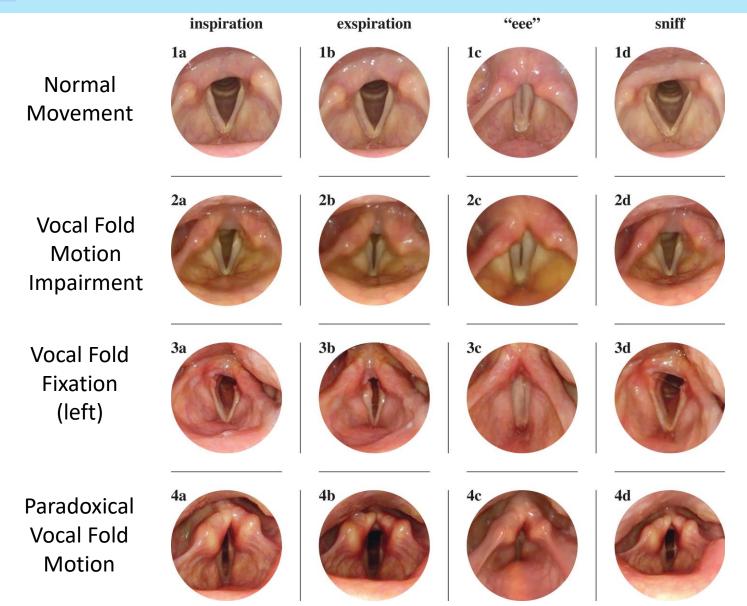
TABLE 3.	Characteristics	of the MSA cohort
----------	-----------------	-------------------

n	57
MSA phenotype, n (%)	
Parkinsonian	43 (75.4)
Cerebellar	14 (24.6)
Diagnostic certainty, n (%)	
Probable	24 (42.1)
Possible	33 (57.9)

Gandor et al., Movement Disord 2020; 35(12):2174-2183

Specific Protocols Laryngeal Movement Disorders

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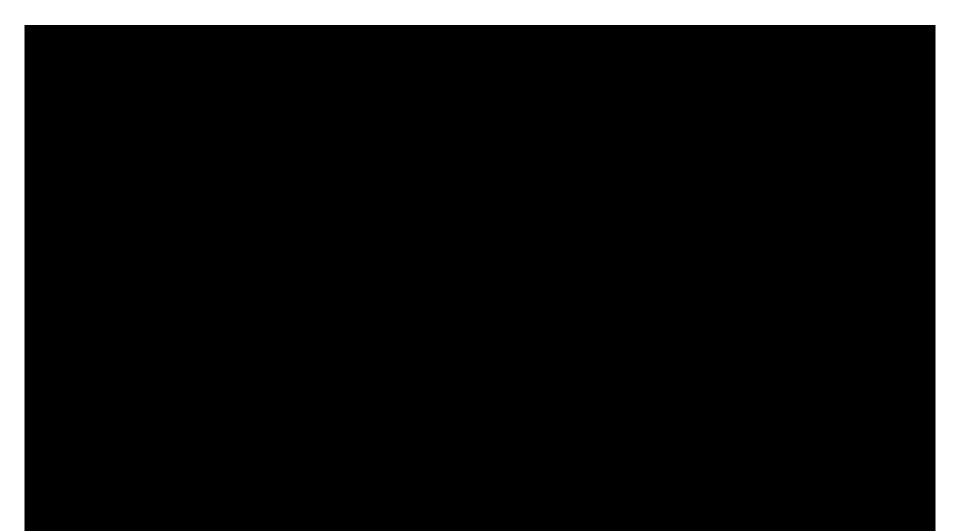


Gandor et al., Movement Disord 2020; 35(12):2174-2183



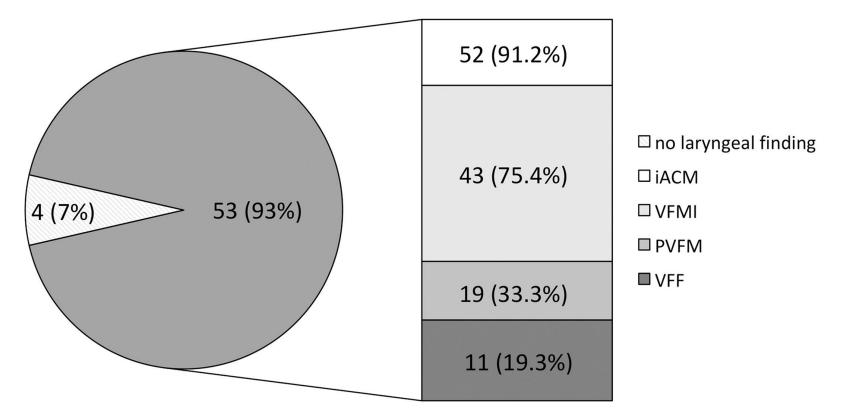
Specific Protocols Laryngeal Movement Disorders





Specific Protocols Laryngeal Movement Disorders

кliniкum



iACM = irregular arythenoid cartilages movementsVFMI = vocal fold motion impairmentPVFM = paradoxical vocal fold motionVFF = vocal fold fixation

Specific Protocols Dual-Task Paradigm



scientific reports	
	ORIGINAL ARTICLE
OPEN Effects of	
dual-task:	Effect of cognitive and motor dual-task on oropharyngeal
swallowin	swallowing in Parkinson's disease
in healthy	
Paul Muhle ^{1,2,4} ⊠, Inga Cla Sonja Suntrup-Krueger ^{1,2}	Bendix Labeit ^{1,2} Inga Claus ¹ Paul Muhle ^{1,2} Liesa Regner ¹
	Sonja Suntrup-Krueger ^{1,2} Rainer Dziewas ¹ Tobias Warnecke ¹

TABLE 2 Clinical characteristics of the patient cohort

Age, mean in years ± SD	65.90 ± 9.32
Men, n (%)	23 (77%)
Disease duration, mean in years ± SD	7.77 ± 4.75
Hoehn and Yahr stage	
H&J 1, n (%)	1 (3)
H&J 1.5, n (%)	2 (7)
H&J 2, n (%)	8 (27)
H&J 2.5, n (%)	7 (23)
H&J 3, n (%)	7 (23)
H&J 4, n (%)	5 (17)
UPDRS, mean ± SD	18.00 ± 7.18
L-dopa daily dose, mean ± SD	564.42 ± 361.19

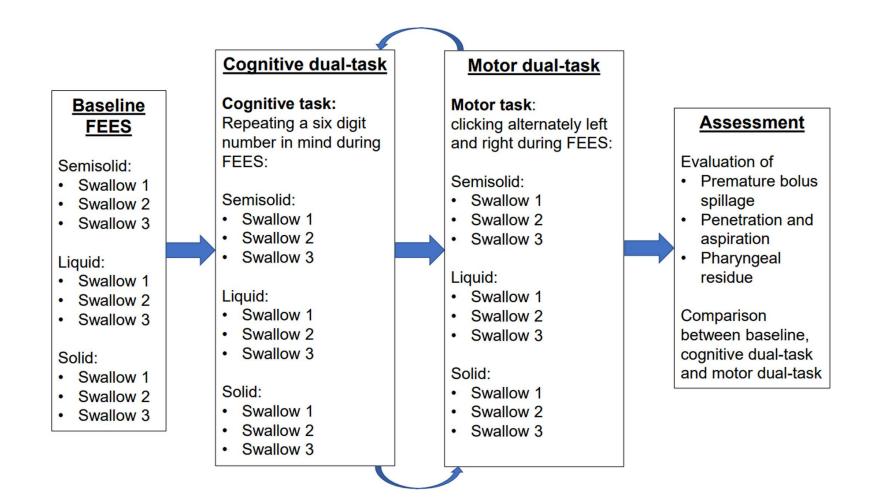


https://de.wikipedia.org/wiki/Handz%C3%A4hler#/media/Datei:Click1.jpg http://www.zmija.de/mnemotechnik



Specific Protocols Dual-Task Paradigm





Muhle et al., Scientific reports (2020), 10:20403; Labeit et al., Eur J Neurol, 28: 754-762.



Specific Protocols Dual-Task Paradigm

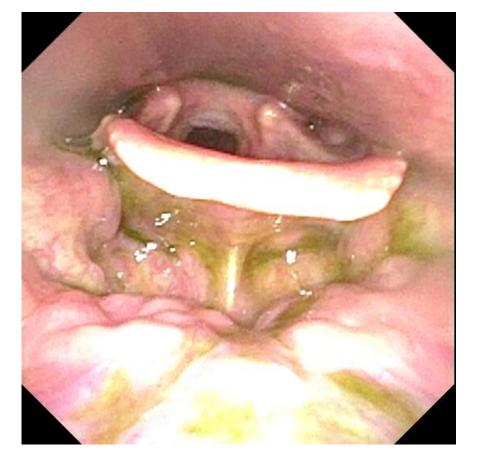


	Baseline, n = 30	motor dual-task, n = 30	cognitive dual-task, n = 22
Total score	10.67 ± 5.89	15.97 ± 7.62	14.55 ± 7.49
Premature spillage	5.33 ± 3.75	8.27 ± 4.57	7.95 ± 3.57
Penetration/aspiration	0.07 ± 0.25	0.20 ± 0.48	0.14 ± 0.35
Pharyngeal residue	5.27 ± 4.94	7.50 ± 5.69	6.45 ± 5.17
Semisolid	3.03 ± 2.80	4.90 ± 3.45	3.36 ± 3.59
Liquid	2.83 ± 2.14	4.40 ± 3.11	5.18 ± 3.39
Solid	4.80 ± 3.40	6.67 ± 3.69	6.00 ± 4.12









Cognitive Dual Task

Baseline



Illustrative Case Fram-by-Frame

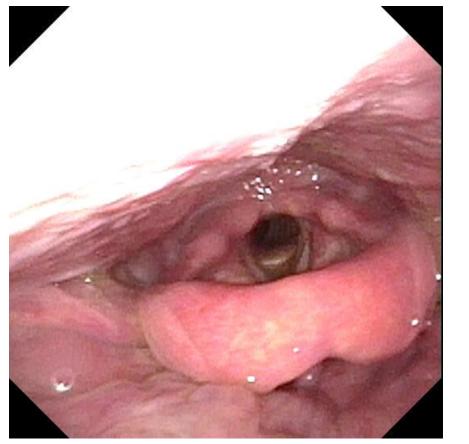












Baseline

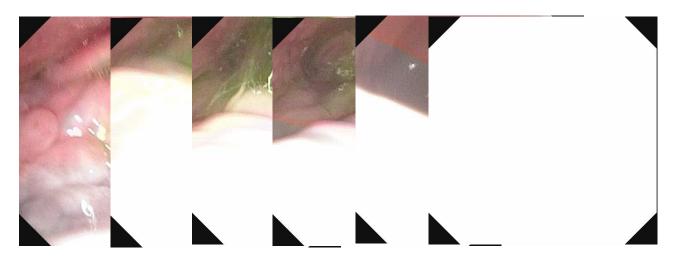


Cognitive Dual Task

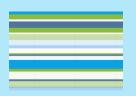


Illustrative Case Fram-by-Frame











- Dysphagia matters in myasthenia gravis (MG):
 - In 6-15% of patients dysphagia is the initial symptom
 - 50% of all MG patients suffer from dysphagia during the course of the disease
 - Dysphagia and aspiration pneumonia are indicative of a poor prognosis
- When dysphagia is the only or leading initial symptom, establishing the diagnosis is often difficult
- Key features of myasthenia:
 - Increasing muscle weakness (fatigability) with activity
 - Short-term increase of muscle strength with intravenous administration of edrophonium-chlorid
- Two specific tests:
 - Fatigable swallowing test
 - FEES-edrophonium-test



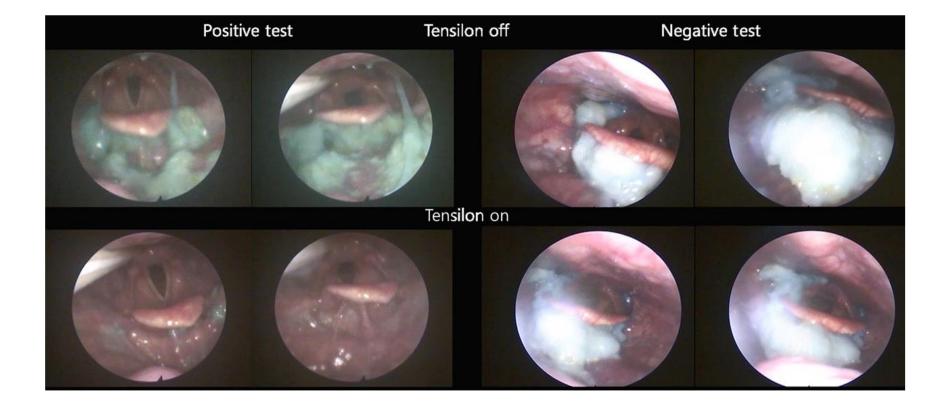


- Fatigable-Swallowing-Test (FST)
 - Effort related impairment of swallowing function?
 - Technique: During FEES successive swallowing of up to 20 pieces of bread (ca. 4,5 cm³).
- FEES-Tensilon-Test
 - Improvement of swallowing function after application of Edrophonium (Acetylcholin-esterase inhibitor)?
 - Technique: Application of 10 mg Tensilon during FEES.

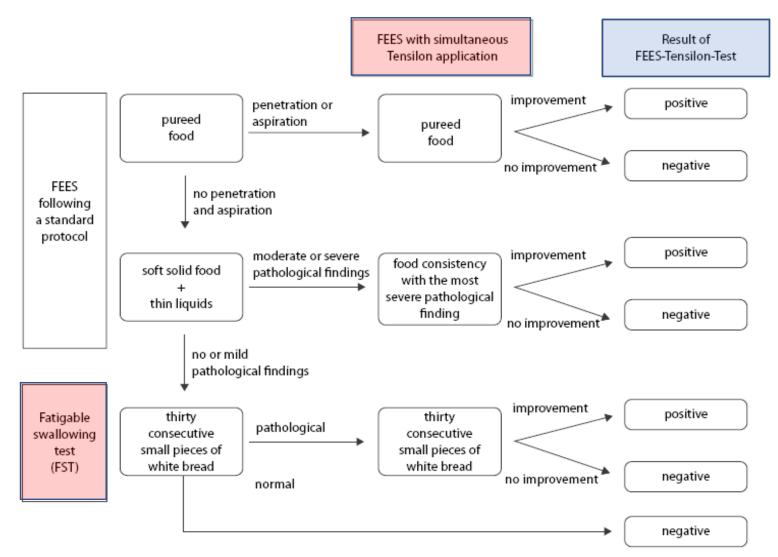


FEES-Tensilon-Test





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Dziewas et al., Clin Neuromusc Dis 2006; Warnecke et al., J Neurol. 2008; Im et al., J Eur Neurol 2017; Warnecke et al., Ther Adv Neurol Disord 2021



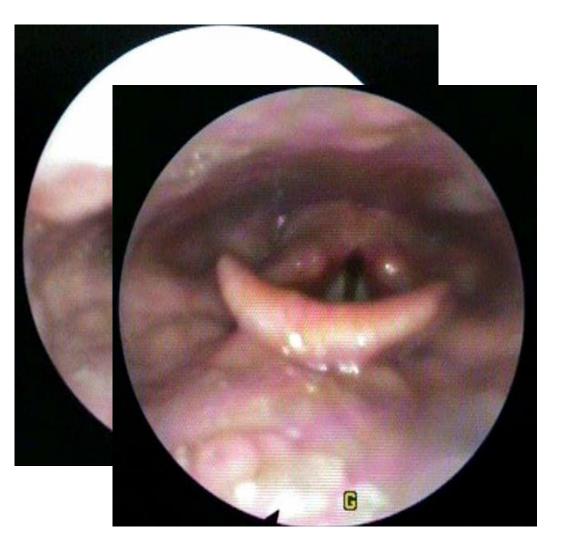


Case history:

- 16 year old female patient
- Increasing swallowing problems since 6 weeks,
- weight loss (3 kg)
- Already on a modified diet
- No other symptoms suggestive of underlying disease

Question:

 Extent and etiology of the dysphagia?







Case history:

- 83 year old widower, living alone, feeling depressed
- Reports of inability to swallow since 2 days
- Before that swallowing has also been difficult, interpreted as part of the ageing process
- At the moment he is only able to have fluids
- Needs to spit out saliva

Question:

 Extent and etiology of the dysphagia?

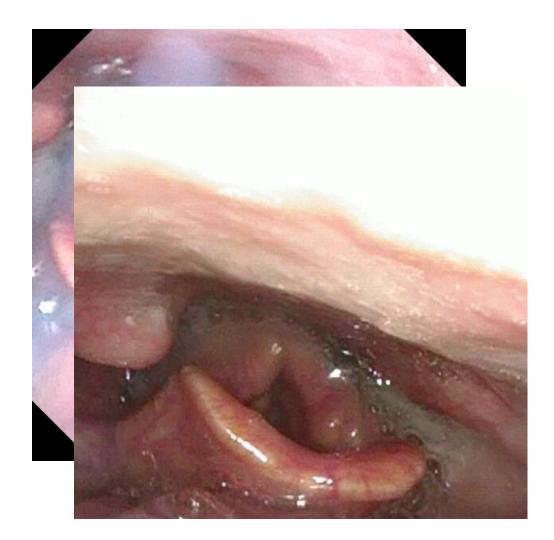






Table 2 Reliability and agreement levels of the flexible endoscopic evaluation of swallowing-Tensilon test

Item	Inter-rater reliability	Intra-rater reliability	Agreement level	
Improvement after Tensilon application				
Overall swallowing performance ^a	0.925 (0.845-1.000)	0.987 (0.978-0.992)	0.967	
Aspiration presence	0.580 (0.464-0.696)	0.694 (0.568-0.790)	0.669	
Premature spillage	0.348 (0.131-0.565)	0.424 (0.186-0.605)	0.621	
Residue normalization	0.922 (0.836-1.000)	0.981 (0.968-0.989)	0.963	
Rater improvement scale (Scale 0-10) ^b	0.959 (0.939-0.979)	0.987 (0.978-0.992)	0.717	
Rating of residue severity				
Vallecular	0.900 (0.858-0.941)	0.961 (0.945-0.974)	0.709	
Epiglottis	0.884 (0.827-0.941)	0.947 (0.926-0.964)	0.671	
Pyriform	0.935 (0.905-0.966)	0.965 (0.951-0.976)	0.588	
Lateral channel	0.829 (0.762-0.895)	0.933 (0.905-0.954)	0.648	

Results are presented as kappa (95% confidence interval) for the reliability levels or as proportion values for the agreement level. ^aPresence of normalization in any swallowing parameter. ^bRater improvement scale (Scale 0–10) was recategorized to five subscales.





Detecting myasthenia gravis as a cause of unclear dysphagia with an endoscopic tensilon test

Tobias Warnecke^{*}, Sun Im^{*}, Bendix Labeit[®], Olga Zwolinskaya, Sonja Suntrup-Krüger, Stephan Oelenberg, Sigrid Ahring, Matthias Schilling, Sven Meuth, Nico Melzer, Heinz Wiendl[®], Tobias Ruck and Rainer Dziewas Ther Adv Neurol Disord 2021, Vol. 14: 1-9 DOI: 10.1177/ 17562864211035544 © The Author(s), 2021.

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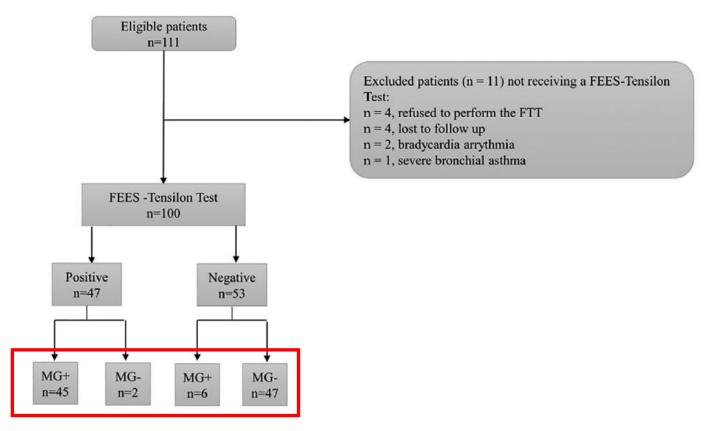






 Table 4. Diagnostic parameters (95% CI) of the FTT, serum antibody, RNS and fatigable swallowing test.

Diagnostic test		MG (+)	MG (-)	Sensitivity	Specificity	PPV	NPV
FTT	(+)	45	2	0.882	0.959	0.957	0.887
	(_)	6	47	(0.761–0.956)	(0.860–0.995)	(0.855–0.995)	(0.770–0.957)
Serum antibody test	(+)	48	0	0.941	1.000	1.000	0.942
	(_)	3	49	(0.8381-0.988)	(1.000-1.000)	(0.926-1.000)	(0.841-0.988)
RNS ª	(+)	26	0	0.510	1.000	1.000	0.662
	(_)	25	49	(0.366-0.653)	(1.000-1.000)	(1.000-1.000)	(0.543–0.768)
Fatigable swallow	(+)	38	13	0.745	0.735	0.745	0.735
	(-)	13	36	(0.604-0.857)	(0.589–0.851)	(0.604-0.857)	(0.589–0.851)

^aStatistically different from the FTT, *p* < 0.001 (Cochran's Q test, *post hoc* McNemar's test). CI, confidence interval; FEES, flexible endoscopic evaluation of swallowing; FTT, FEES-tensilon test; MG, myasthenia gravis; NVP, negative predictive value; PPV, positive predictive value; RNS, repetitive nerve stimulation.





- Taking oral medication, especially swallowing tablets, is difficult for many patients with dysphagia [Maiuri et al., 2018; Wirth & Dziewas 2019].
- Consequences:
 - Aspiration and resulting pneumonia
 - Discontinuation of medication and related adverse consequences
 - Unsuitable modifications of medication (for example crushing, breaking and opening of capsules and tablets) frequently occurs
 - Decreased accuracy of dose
 - Increased toxicity
 - Reduces pharmacological stability and alterations of pharmacocinetics



Medication Dysphagia Guidelines



- ESO-ESSD-guideline
 - Recommendation 4: We suggest that in acute stroke patients swallowing of tablets should routinely be evaluated as part of dysphagia assessment in addition to assessing the swallowing of liquid and different food consistencies and quantities.
 - Quality of evidence: Low $\oplus \oplus$

Strength of recommendation: Weak for intervention ↑?
 [Dziewas et al., European Stroke Journal 2021; DOI: <u>10.1177/23969873211039721</u>]

- Guideline of the German Neurological Society
 - Recommendation 20: In addition to assessing the swallowing of different food consistencies and quantities, in dysphagia patients in need of oral medication, pill swallowing should be routinely evaluated as part of instrumental diagnostics and the individually optimal formulation should be identified.

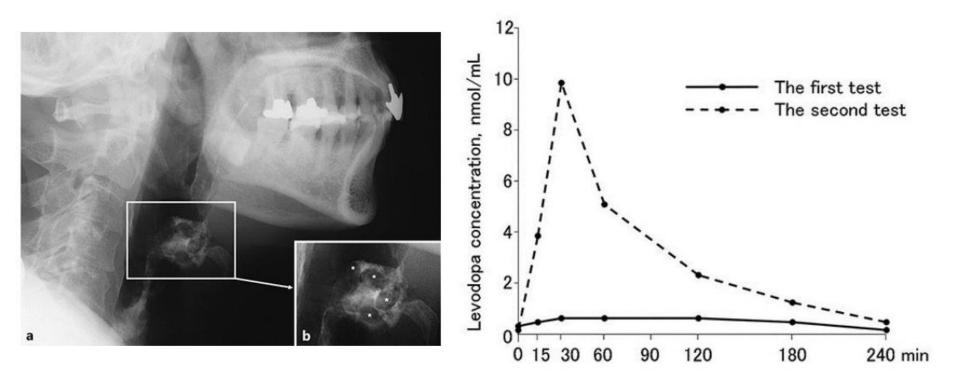
[Dziewas et al., Neurological Research and Practice 2020; DOI: 10.1186/s42466-021-00122-3]



Medication Dysphagia Parkinsonian Disease



• In patients with Parkinsonian Disease medication dysphagia has been linked to lack of medication efficacy and to motor fluctuations such as delayed on-phenomena [Umemoto et al., Neurology 2016; Fukae et al., Mov Disord 2020]





Medication Dysphagia Score



Ordinal level	Swallowing efficiency	Swallowing safety
0: no impairment	The medication is swallowed completely during the first swallowing attempt without dissolving.	The medication is swallowed without any risk of penetration or aspiration.
1: mild impairment	The medication is not swallowed during the first attempt but is easily swallowed with additional attempts without dissolving.	The medication or water spills prematurely into the pharynx before swallowing or remains there prolonged after swallowing, but no penetration or aspiration occurs.
2: moderate impairment	The medication is temporarily stuck in the oropharynx and can only be cleared with intensive swallowing attempts (≥ 5 attempts or additional water drinking) and/or there are minimal signs of dissolution (coating of the mucosa).	The medication or water penetrates into the laryngeal vestibule, but is effectively cleared by protective reflexes.
3: severe impairment	The medication cannot be swallowed completely and partially dissolves.	The medication or water penetrates into the laryngeal vestibule, despite protective reflexes it is not cleared.
4: very severe impairment	The medication cannot be swallowed at all and/or completely dissolves.	The medication or water penetrates into the laryngeal vestibule without attempts to clear it or is aspirated.

Labeit et al., under review





- **0**: no signs of medication dysphagia.
- 1: mild: signs of mild impairment of swallowing safety or/and swallowing efficiency in at least 1 of the tested medication trials.
- 2: moderate: signs of moderate impairment of swallowing safety or/and swallowing efficiency in at least 1 of the tested medication trials.
- **3**: severe: signs of severe impairment of swallowing safety or/and swallowing efficiency in at least 1 of the tested medication trials.
- 4: very severe: signs of very severe impairment of swallowing safety or/and swallowing efficiency in at least 1 of the tested medication trials.



Medication Dysphagia Classification



Parameter	value	
mean age ± SD in years	68.4 ± 8.8	
gender m/f	44/22	
Hoehn & Yahr, n (%)		
2	29 (43.9%)	
2,5	10 (15.2%)	
3	17 (25.8%)	
4	9 (13.6%)	
5	1 (1.5%)	
normal bolus OD, n (%)		
no signs	20 (30.3%)	
mild	38 (57.6%)	
moderate	5 (7.6%)	
severe	3 (4.5%)	
mediaction dysphagia, n (%)		
no signs	22 (33.3%)	
mild	20 (30.3%)	
moderate	15 (22.7%)	
severe	3 (4.5%)	
very severe	6 (9.1%)	





- Interrater-Reliability:
 - swallowing efficiency: κ=0.89 (p<0.001)
 - swallowing safety κ=0.86 (p<0.001)
- Medication dysphagia predicted motor-complications in PD patients (beta-coefficient: 0.5; p=0.006).
- More severe difficulty with large tablet vs. small capsule and the small tablet.
- Moderate correlation between severity of normal bolus OD and medication dysphagia (Spearman's rho correlation coefficient: 0.39; p=0.001).
- 6 out of 9 subjects with severe or very severe medication dysphagia showed only mild or no normal bolus OD.

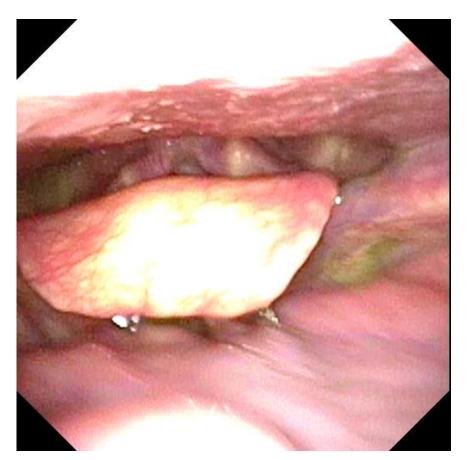


Illustrative Case





Impaired efficiency (1 = mild impairment)

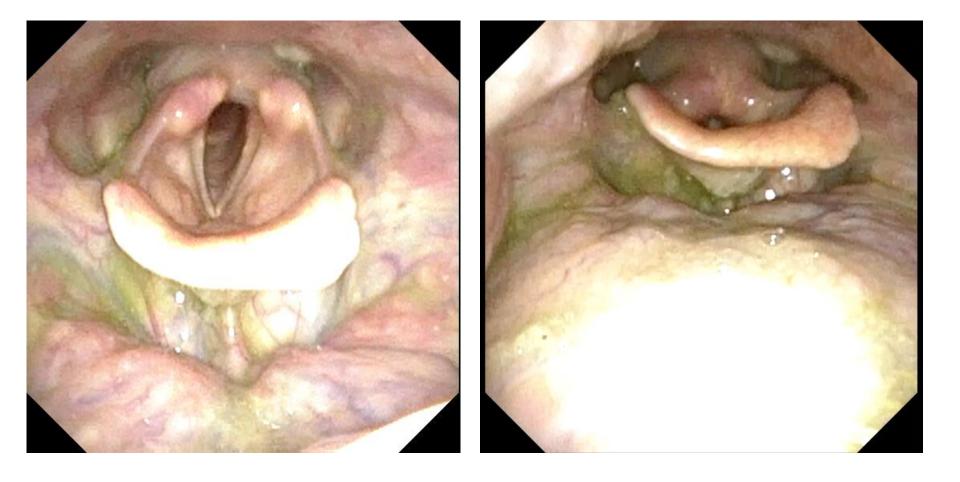


Impaired safety (2 = moderate impairment)



Final Question: In or Out?



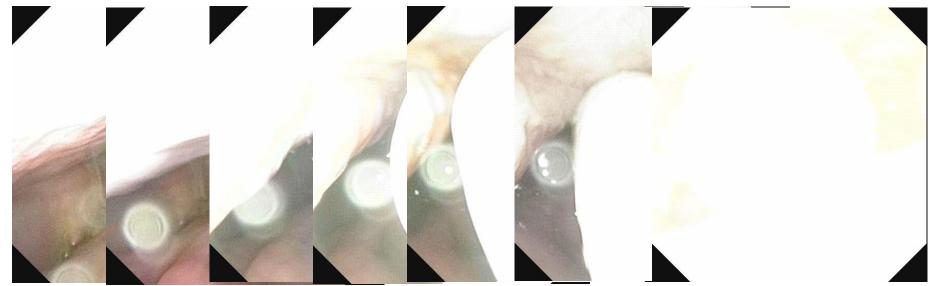




Final Question: In or Out?













- The FEES-standard protocol outlines a general approach to comprehensively assess swallowing function.
- Specific protocols target particular clinical situations or patient groups, in particular
 - acute stroke (FEDSS)
 - tracheostomized patients (SESETD, FEES-LSR)
 - movement disorders (FEES-L-Dopa-Test, MSA-protocol, dual-task paradigm)
 - myasthenia gravis (Fatigable-Swallowing-Test, FEES-Tensilon-Test)
 - the ability to swallow medication (medication dysphagia score)
- These protocols focus on specific aspects of swallowing safety, swallowing efficiency and/or laryngeal movement patterns.
- Most of these protocols have been validated and have been used in the clinical context.



Thank you!





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Neurogene
Dysphagien
Diagnostik und Therapie

2., erweiterte und überarbeitete Auflage **Kohlhammer**

Neurogenic Dysphagia

Content

Tobias Warnecke Rainer Dziewas Susan Langmore









TECHNICAL PROCEDURES: CLEANING, STERILIZATION, AND PATIENT SAFETY

ESSD FEES Course Münster September 23-24, 2024

Laura Baijens MD, PhD

Consultant Head & Neck Oncology Surgeon and Laryngologist Department of Otorhinolaryngology, Head & Neck Surgery & GROW - Research Institute for Oncology and Reproduction, <u>Maastricht University Medical Center</u>, Maastricht, the Netherlands



DISCLOSURES

Financial disclosures or conflicts of interest of the speaker:

- Employee Maastricht UMC+
- Consultant for Phagenesis Limited, The Elms Courtyard, Bromesberrow, Ledbury, HR8 1RZ UK



OBJECTIVES OF THE PRESENTATION

- Describe steps to improve patient safety
- Identify <u>cleaning & sterilization methods</u> following FEES
- Describe <u>new opportunities</u> for sterilization



CARRY OUT FEES - PATIENT SAFETY – BASIC STEPS

- Standardization of FEES exam check surface endoscope
- Gloves and close-fitting eyeglasses
- Do not apply topical anesthetic
- Insert and manipulate the endoscope to obtain the desired view
- Direct the patient through appropriate tasks
- Interpret and document findings in a written report
- Formulate treatment and management strategies
- Recording of FEES video and privacy protection



💙 Maastricht UMC+

STANDARDIZATION CONSISTENCIES & SIP VOLUME



STEP A 3x10 cc water with 5%methylene blue

STEP B 3x10 cc applesauce with 5% methylene blue

STEP C 3x1 bite-sized cracker



IDDSI 0 – 3 – 7 + 5% methylene blue



STANDARDIZATION ≠ **<u>RIGIDITY</u>**

- Setting
- Patient performance
- Clinical judgement
- Cognition
- Collaboration
 radiology & clinician

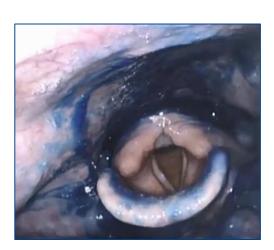




SAFETY OF METHYLENE BLUE









METHYLENE BLUE IS SAFE

Check for

European Archives of Oto-Rhino-Laryngology https://doi.org/10.1007/s00405-020-06509-3

REVIEW ARTICLE

Evaluating the safety of oral methylene blue during swallowing assessment: a systematic review

Bina Tariq¹ · Sorina R. Simon^{1,2,3} · Walmari Pilz^{1,4} · Andra Maxim¹ · Bernd Kremer^{1,2} · Laura W. J. Baijens^{1,2}





 ✓ Serious AEs (0.2%) related to high doses of methylene blue

 ✓ Non-serious AEs usually mild and dose-related

✓ MB for FEES is safe





<u>Dysphagia.</u> 2017; 32(6): 725–733. Published online 2017 Aug 4. doi: <u>10.1007/s00455-017-9828-9</u> PMCID: PMC5674114 PMID: <u>28779300</u>

European Society for Swallowing Disorders FEES Accreditation Program for Neurogenic and Geriatric Oropharyngeal Dysphagia

<u>R. Dziewas</u>,^{II} <u>L. Baijens</u>,^{2,3} <u>A. Schindler</u>,⁴ <u>E. Verin</u>,⁵ <u>E. Michou</u>,⁶ <u>P. Clave</u>,⁷ and The European Society for Swallowing Disorders

► Author information ► Article notes ► Copyright and License information <u>Disclaimer</u>



COMPLICATIONS OF FEE

- >6 studies; N>6000 patien
- Epistaxis <2%
- Laryngospasm <2%
- Vasovagal syncope <2%
- Self-limiting, no sequela
- FEES is safe and well-tolerated





European Archives of Oto-Rhino-Laryngology (2022) 279:2727–2742 https://doi.org/10.1007/s00405-021-07161-1

REVIEW ARTICLE

Phoniatricians and otorhinolaryngologists approaching oropharyngeal dysphagia: an update on FEES

Antonio Schindler¹ · Laura W. J. Baijens^{2,3} · Ahmed Geneid⁴ · Nicole Pizzorni¹

Received: 18 May 2021 / Accepted: 26 October 2021 / Published online: 15 November 2021 © The Author(s), under exclusive licence to Springer-Verlag GmbH Germany, part of Springer Nature 2021



CLASSIFICATION BIOFILM ENDOSCOPE

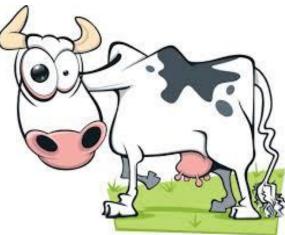
- Fungi, yeast, and spores
- Bacteria and mycobacteria
- Viruses
- Parasites





POTENTIALLY TRANSMISSIBLE INFECTIONS

- <u>HIV, hepatitis B, hepatitis C</u>, Salmonella or mycobacteria
- Creutzfeldt-Jakob disease spongiform
 encephalopathy <u>Avoid FEES</u>





EVIDENCE-BASED

Conclusion of review by Collins et al. Otolaryngol Head Neck Surg. 2009 Sep;141(3):307-10.

- Important steps: manual cleaning, leak testing, cleaning with an enzymatic agent, high-level disinfection, and drying with vertical storage
- Three techniques: 1) manual disinfection wipes

2) disposable endosheath

3) <u>automated endoscope reprocessor</u>

(AER)

WIPES

- Wipes cleaning/disinfection of endoscope surfaces at bedside
- No access to AER the Tristel® 3 Wipe System
- Record keeping and traceability
- Not allowed anymore in our hospit





DISPOSABLE ENDOSHEATHS





STEPS OF CLEANING OF ENDOSCOPES

- Visual check endoscope & pre-cleaned
- Leak test & flow control
- Disinfectant and its disposal
- Washing machine (AER) = Gold Standard
- **<u>Rinse</u>** with bacteria-free water
- **Dry** the endoscope



CHECK THE INTEGRITY OF THE ENDOSCOPE

- Visual check immediately for <u>scratches and/or</u>
 <u>cracks</u>
- Wipe the entry part and control housing window moisture gauge
- <u>Leak test</u>
- Flow control for channe





a

CHECK THE INTEGRITY OF THE ENDOSCOPE

- <u>Do not dry</u> used endoscopes before they enter the washing machine
- Never roll an endoscope

in a loop smaller

than 40 cm diameter

Use leak test cap





CLEANING BEFORE STERILIZATION

- Before entering the washing machine, <u>endoscopes</u>
 <u>should be pre-cleaned</u>
- Use only water, or a <u>compatible</u> enzymatic Ph-neutral detergent
- Before the endoscope goes into a washing machine <u>all</u> <u>chemical residues must be rinsed</u>



WASHING MACHINES - AER









RINSE WITH BACTERIA-FREE WATER

- Filters
- UV
- UV with small amount of disinfectants

After this step no more disinfection



DRYING

- In the washing machine
- In a drying and <u>storage cabinet</u>
- Without proper drying:

up to 4 hours between

examinations





RECORD KEEPING AND TRACEABILITY

- Patient identity
- Nature of the procedure
- Serial number of the endoscope
- Washing machine used
- Operator's name (=clinician)



 Name of the person responsible for the cleaning and disinfection



DISPOSAL OF DISINFECTANTS

- On the sewage disposal facility (take care of local authorities)
- To storage vessel (control concentration)





Home > Clinical Resources > Endoscopy > Endoscopy Guidance > 2020 Guidance o...

2020 Guidance on Decontamination of Equipment for Gastrointestinal Endoscopy

The Report of a Working Party of the British Society of Gastroenterology Endoscopy Committee

Summary

1. Decontamination of endoscopes should be undertaken by staff trained and educated in the procedures within dedicated and well-designed rooms. There should be one- way flow of endoscopes between dirty returns and clean dispatch areas to prevent cross contamination. Best practice is that there should be physical separation of dirty and clean procedures and areas, each with its own detailed procedures. The washroom area, if separated dirty and clean rooms are used, should have a negative pressure in comparison to the clean side. See Health Technical Memorandum (HTM) 01-06 part B. If a single room procedure is used, the room must be well designed to ensure a good and safe flow is well managed. Units should be moving away from single-room facilities and all new designs should have split rooms with clearly segregated clean and dirty areas.

2. Staff training should be implemented using a competency framework and should be documented and revalidated annually. Training should include an awareness of the channel configuration of all endoscopes, manual cleaning procedures and of the endoscope washer disinfectors (EWD) and available irrigation adaptors, and any post cleaning processes (e.g. controlled environment storage cabinets [CESCs]) or portable storage systems, such as vacuum packing, that may be in use. See HTM 01-06 part D. These systems must be checked on a regular basis and validated by the



FLEXIBLE ENDOSCOPE CLEANING & DISINFECTION GUIDE

For use with ENF-VH, ENF-V3, ENF-XP, ENF-P4, ENF-GP, ENF-V2, ENF-VQ, LF-P







9. Disinfect the endoscope

5. Detach the tester

move the endpecage from the water

endoscope " Detach the leakage tester

MANUAL CLEANING





meter the andiacope in water. Using and uppe the emissions.

the endow from weber, the a self list-free cloth to service excess meature here the andracope and cleaning accessories in preparation for disinfection.



RINSING

anternal surfaces. Seek in detergent

adulton for the recommended time.



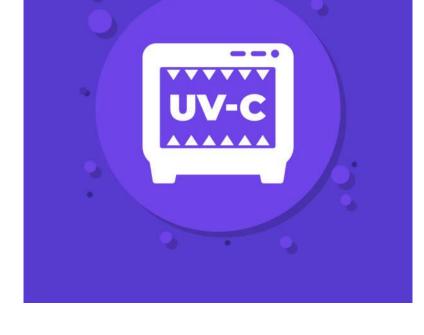


and garily wipe the oriental surfaces of the endoscope.



NEW OPPORTUNITY: <u>UV LIGHT</u> <u>REPROCESSING</u>

- UV-C-disinfection of endoscopes without working channel
- Is being studied
- Less/no water, less electrical current, no chemicals, no CO2



Cost-effective



UV-C LIGHT

The Effectiveness of Ultraviolet Smart D60 in Reducing Contamination of Flexible Fiberoptic Laryngoscopes

Uche C. Ezeh, MS ⁽⁰⁾; Efstratios Achlatis, MD; Tyler Crosby, MD; Paul E. Kwak, MD; Michael S. Phillips, MD; Milan R. Amin, MD

Objective: To compare the effectiveness of disinfection protocols utilizing a ultraviolet (UV) Smart D60 light system with Impelux[™] technology with a standard Cidex *ortho*-phthalaldehyde (OPA) disinfection protocol for cleaning flexible fiberoptic laryngoscopes (FFLs).

Methods: Two hundred FFLs were tested for bacterial contamination after routine use, and another 200 FFLs were tested after disinfection with one of four methods: enzymatic detergent plus Cidex OPA (standard), enzymatic detergent plus UV Smart D60, microfiber cloth plus UV Smart D60, and nonsterile wipe plus UV Smart D60. Pre- and post-disinfection microbial burden levels and positive culture rates were compared using Kruskal-Wallis ANOVA and Fisher's two-sided exact, respectively.

Results: After routine use, approximately 56% (112/200) of FFLs were contaminated, with an average contamination level of 9,973.7 \pm 70,136.3 CFU/mL. The standard reprocessing method showed no positive cultures. The enzymatic plus UV, microfiber plus UV, and nonsterile wipe plus UV methods yielded contamination rates of 4% (2/50), 6% (3/50), and 12% (6/50), respectively, with no significant differences among the treatment groups (p > 0.05). The pre-disinfection microbial burden recovered after enzymatic plus UV, microfiber plus UV, and nonsterile wipe plus UV were 0.40 CFU/mL \pm 2, 0.60 CFU/mL \pm 2.4, and 12.2 CFU/mL \pm 69.5, respectively, with no significant difference among the treatment groups (p > 0.05). *Micrococcus* species (53.8%) were most frequently isolated, and no high-concern organisms were recovered.

Conclusion: Disinfection protocols utilizing UV Smart D60 were as effective as the standard chemical disinfection protocol using Cidex OPA.

Key Words: UV disinfection, flexible fiberoptic laryngoscope, semi-critical device. Level of Evidence: NA

Laryngoscope, 133:3512-3519, 2023





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DOI: 10.1111/coa.14119

ORIGINAL ARTICLE

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Is ultraviolet light disinfection fit to be the future standard for the disinfection of flexible endoscopes without a working channel?

UV-C LIGHT

Yana Halmans¹ | David J. Wellenstein¹ | Michael Romijn¹ | Suzan Cremers² | Jannie J. Smit³ | Joost Hopman⁴ | Robert P. Takes¹ | Guido B. van den Broek¹



UV-C LIGHT



Available online at www.sciencedirect.com

Journal of Hospital Infection

Healthcare Infection Society

Review

Shedding a light on ultraviolet-C technologies in the hospital environment

N. Demeersseman^a, V. Saegeman^a, V. Cossey^a, H. Devriese^b, A. Schuermans^{a,*}

^a Department of Infection Control and Prevention, University Hospitals Leuven and Catholic University Leuven, Leuven, Belgium
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ARTICLEINFO

SUMMARY

Article history: Received 7 October 2022 Accepted 12 December 2022 Available online 21 December 2022

Keywords: UV-C disinfection Light source Dose Repair mechanism Photoreactivation Standards



Ultraviolet (UV)-C light for disinfection has experienced a surge in popularity since the outbreak of COVID-19. Currently, many different UV-C systems, with varied properties that impact disinfection performance, are available on the market. Therefore this review aims to bundle the available information on UV-C disinfection to obtain an overview of its advantages, disadvantages, and performance-influencing parameters. A literature search was performed using the snowball search method in Google Scholar and PubMed with the following keywords: UV-C disinfection, UV-C dose, UV-C light source, UV-C repair mechanism, UV-C photoreactivation, and UV-C disinfection standards. The main parameters of UV-C disinfection are wavelength, dose, relative humidity, and temperature. There is no consensus about their optimal values, but, in general, light at a high dose and a spectrum of wavelengths containing 260 nm is preferred in an environment at room temperature with low relative humidity. This light can be generated by mercury-vapour, light-emitting diode (LED), pulsed-xenon, or excimer lamps. Multiple factors are detrimental to disinfection performance such as shadowing, a rough surface topography, a high level of contamination, repair mechanisms, and the lack of standardization. Also, there are health and safety risks associated with the UV-C technology when used in the proximity of people. UV-C disinfection systems have promising features and the potential to improve in the future. However, clarifications surrounding the different parameters influencing the technologies' effectiveness in hospital environment are needed. Therefore UV-C disinfection should currently be considered for low-level rather than high-level disinfection. © 2022 The Healthcare Infection Society. Published by Elsevier Ltd. All rights reserved.

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ORIGINAL RESEARCH ARTICLE



Open Access

Cost minimization analysis of nasopharyngoscope reprocessing in community practice

Ameen Biadsee^{1,2,3}, Lauren Crosby⁴, Winsion Chow⁵ and Leigh J Sowerby^{1*}

Abstract

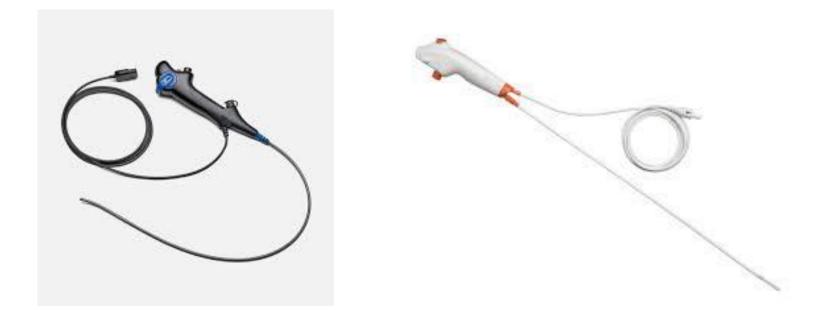
Background Reprocessing of nasopharyngoscopes represents a large financial burden to community physicians. The aim of this study was to perform a cost analysis of nasopharyngoscope reprocessing methods at the community level.

Methods Electronic surveys were distributed by email to community otolaryngologists. Surveys were comprised of 14 questions assessing clinic size, nasopharyngoscope volume, scope reprocessing method and maintenance. Four manual techniques were evaluated: (1) soak with ortho-phthalaldehyde solution (Cidex-OPA; Advanced Sterilization Products, Johnson and Johnson Inc., Markham, Canada), (2) soak with accelerated hydrogen peroxide solution (Revital-Ox; Steris Canada Inc., Mississauga, Canada), (3) disinfection with chlorine dioxide wipe (Tristel Trio Wipes System; Tristel plc., Cambridgeshire, UK), (4) UV-C light system (UV Smart, Delft, The Netherlands). All costs are reported in CAD, and consumable and capital costs for reprocessing methods were obtained from reported vendor prices. Time costs were derived from manufacturer recommendations, the Ontario Medical Association Physician's Guide to Uninsured Services, and the Ontario Nurses Association Collective Agreement. Cost analyses determined the most cost-effective reprocessing method in the community setting. Sensitivity analyses assessed the impact of reprocessing volume and labour costs.

Results Thirty-six (86%) otolaryngologists responded and answered the survey. The cost per reprocessing event for Cidex-OPA, Revital-Ox, Tristel and UV system were \$38.59, \$26.47, \$30.53, and \$22.74 respectively when physicians reprocessed their endoscopes themselves. Sensitivity analyses demonstrated that Revital-Ox was the least costly option in a low volume, however, the UV system remained the most cost effective in higher volumes. The cost per reprocessing event when done by clinic staff was \$5.51, \$4.42, \$11.23 and \$6.21 for Cidex-OPA, Revital-Ox, Tristel and the UV system.



SINGLE-USE ENDOSCOPES





TAKE HOME MESSAGE

- 1. Standardization of FEES examination and training
- 2. FEES is well tolerated and safe
- Follow regulation of your country and protocols of your hospital
- 4. Ask the manufacturer to validate the process











THANK YOU VERY MUCH FOR YOUR ATTENTION











WHEN TO BE ALERT: IMPORTANT ENT-FINDINGS

ESSD FEES Course Münster September 23-24, 2024

Laura Baijens MD, PhD

Consultant Head & Neck Oncology Surgeon and Laryngologist Department of Otorhinolaryngology, Head & Neck Surgery & GROW - Research Institute for Oncology and Reproduction, <u>Maastricht University Medical Center</u>, Maastricht, the Netherlands



DISCLOSURES

Financial disclosures or conflicts of interest of the speaker:

- Employee Maastricht UMC+
- Consultant for Phagenesis Limited, The Elms Courtyard, Bromesberrow, Ledbury, HR8 1RZ UK



SHINE THROUGH



Sometimes you can see from the <u>outside</u> what is <u>happening inside</u>



OBJECTIVES OF THE PRESENTATION

- Describe coincidental findings during FEES
- Identify red flags
- Quiz and award



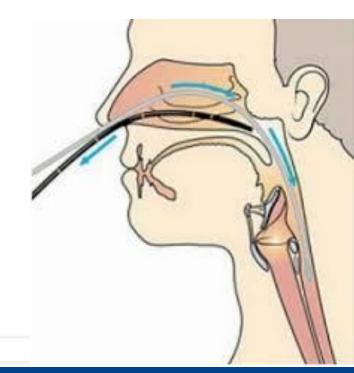


'Knowledge is power; Knowing what you don't know is wisdom' Adam Grant



EVALUATION ANATOMY UPPER AERODIGESTIVE TRACT

- (Para)nasal cavity
- Velum and nasopharynx
- Oropharynx and tongue base
- Hypopharynx
- Larynx
- Subglottic region





CLASSIFICATION OF FINDINGS

- Infection
- Congenital disorders
- Neoplasms
- Reflux disease
- Autoimmune disease
- Cervical spine degeneration
- latrogenic (postsurgical postradiation presentations, etc.)
- Neurological diseases
- Spectrum of 'normal' anatomy



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MALIGNANT NEOPLASMS

- Squamous cell carcinoma 80-85% (smokers -HPV)
- Adenomcarcinoma (woodworkers)
- Nasopharyngeal carcinoma EBV (East Asia Africa)



MALIGNANT NASAL NEOPLASMS





MALIGNANT PHARYNGEAL NEOPLASMS



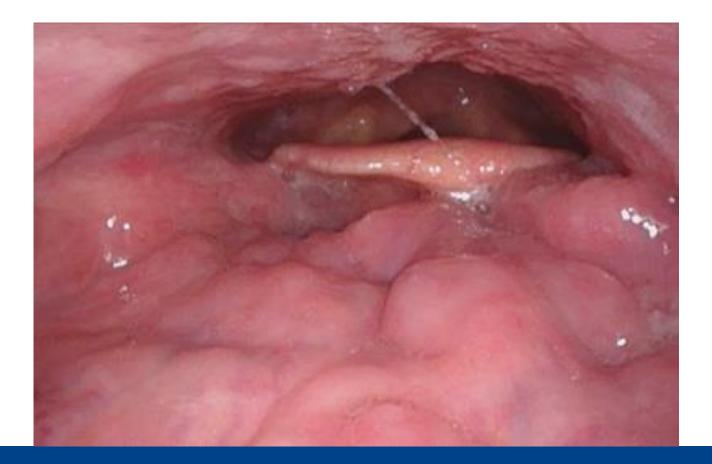


OROPHARYNX CARCINOMA





OROPHARYNX CARCINOMA



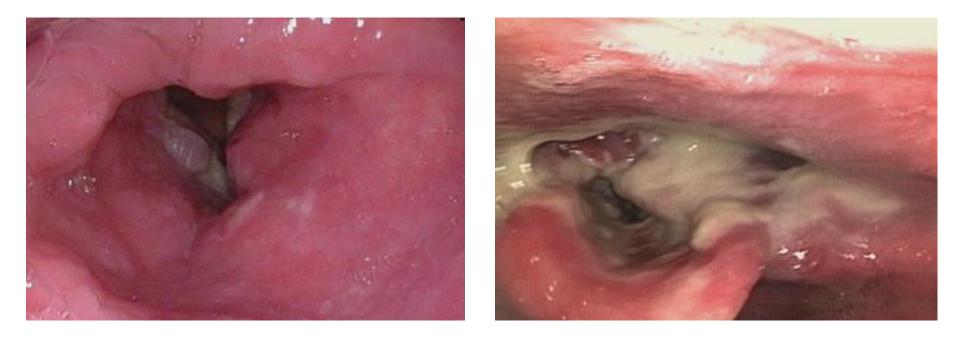


LARYNX CARCINOMA





LARYNX CARCINOMA





LARYNX CARCINOMA





BENIGN NEOPLASMS

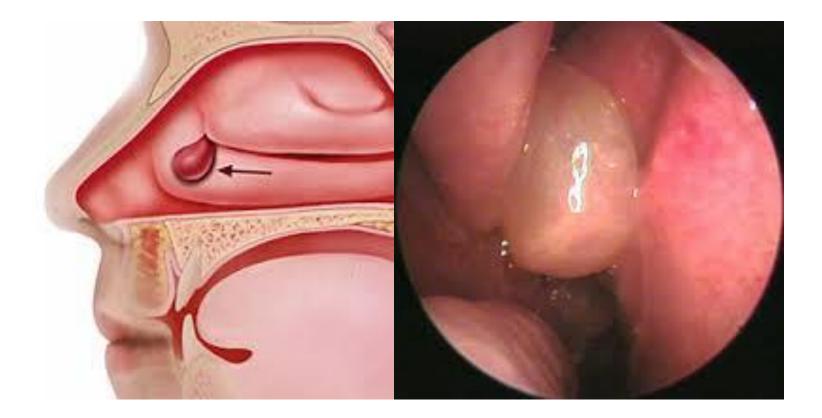
- Polyps
- Cysts

- Granulomas
- Papillomas
- Hypertrophic inferior turbinates

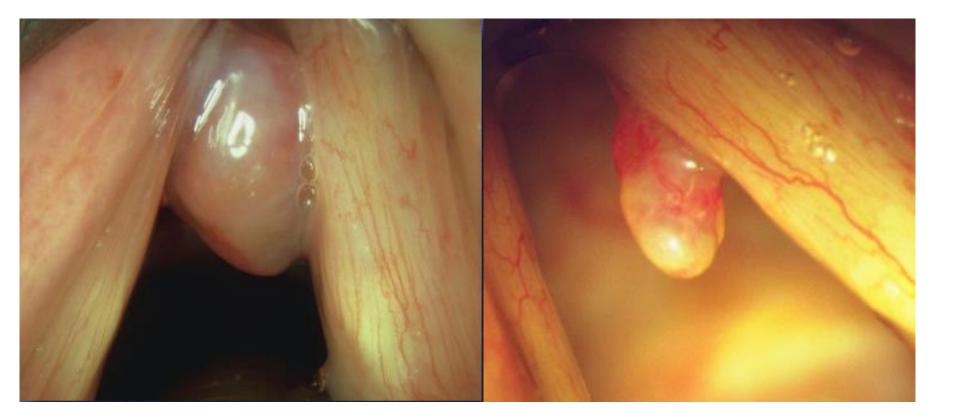


QUIZ





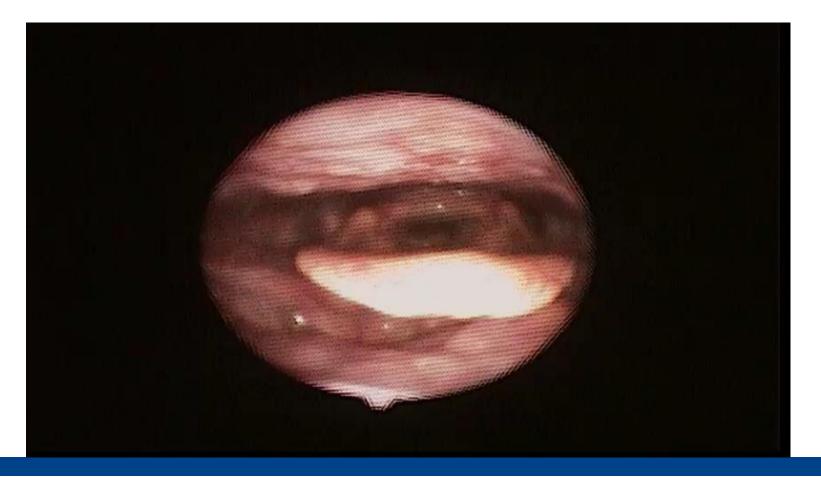
















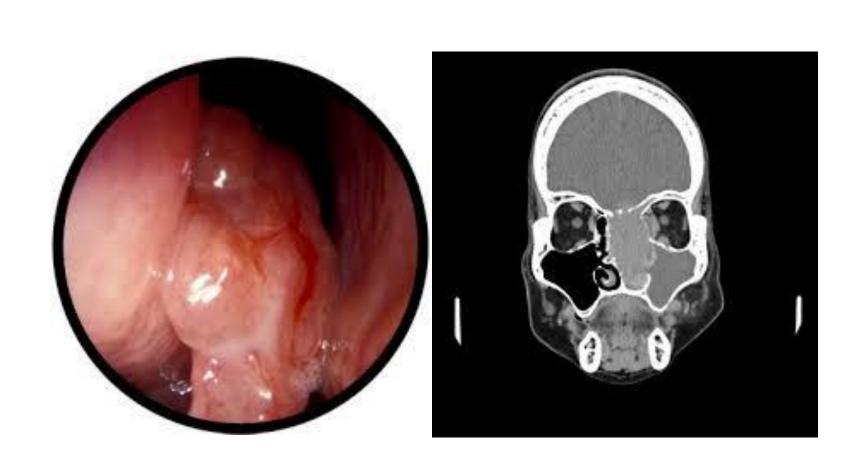






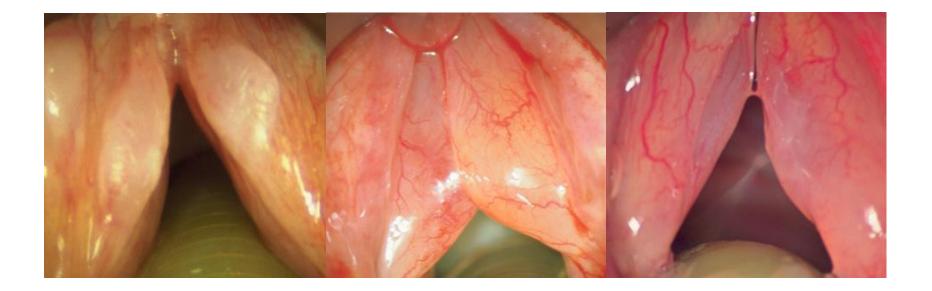






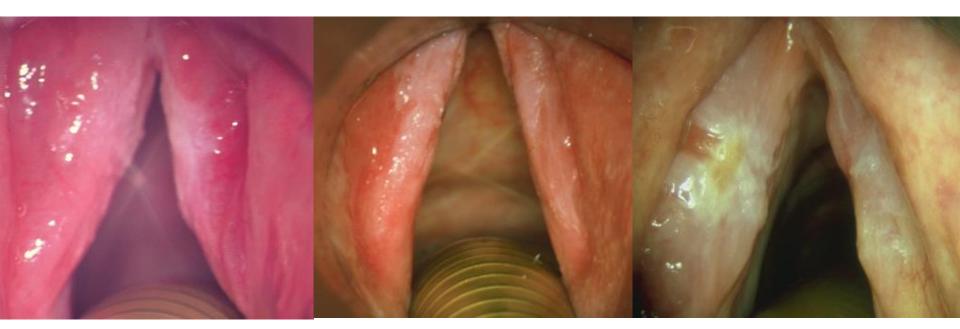
















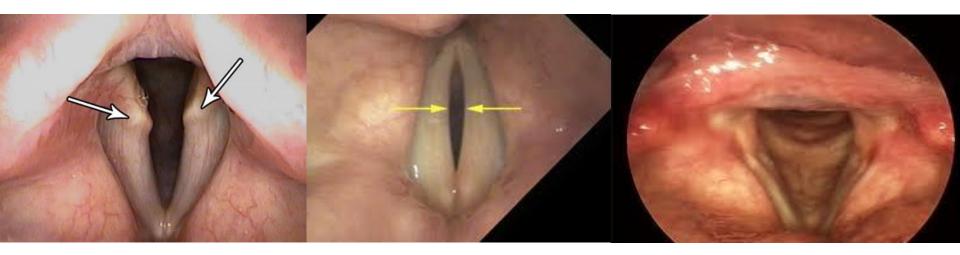














TROPHY







TAKE HOME MESSAGE

- Stay alert to <u>coincidental abnormalities</u> on any topographic level (<u>Cancer & Neurological disorders</u>)
- Incorrectly interpreting endoscopic findings can

endanger patient safety

- Interdisciplinary teamwork necessary!



Thank you very much for your attention.

Questions?



